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<b>(21) International Application Number:</b> PCT/GB94/02415 <b>(22) International Filing Date:</b> 3 November 1994 (03.11.94) <b>(30) Priority Data:</b> 08/147,784                      3 November 1993 (03.11.93)                      US  <b>(71) Applicants (for all designated States except US):</b> THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY [US/US]; Suite 350, 900 Welch Road, CA 94304-1850 (US). BECTON DICKINSON & COMPANY [US/US]; One Becton Drive, Franklin Lakes, NJ 07417-1880 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> GODFREY, Wayne [US/US]; 18000 Skyline Boulevard, Woodside, CA 94062 (US). BUCK, David, William [GB/US]; 1 Johnston Pier, Box 37, Half Moon Bay, CA 94019 (US). ENGLEMAN, Edgar, George [US/US]; 60 Lane Place, Atherton, CA 94027 (US).  <b>(74) Agents:</b> GREAVES, Carol, P. et al.; Mewburn Ellis, York House, 23 Kingsway, London WC2B 6HP (GB).		<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> RECEPTOR ON THE SURFACE OF ACTIVATED T-CELLS: ACTS-4		
<b>(57) Abstract</b> <p>The invention provides purified ACT-4 receptor polypeptides, antibodies against these polypeptides and nucleic acids encoding ACT-4 receptor polypeptides. Also provided are methods of diagnosis and treatment using the same. ACT-4 receptors are preferentially expressed on the surface of activated CD4<sup>+</sup> T-cells. ACT-4 receptors are usually expressed at low levels on the surface of activated CD8<sup>+</sup> cells, and are usually substantially absent on resting T-cells, and on monocytes and B-cells (resting or activated). An exemplary ACT-4 receptor, termed ACT-4-h-1, has a signal sequence, an extracellular domain comprising three disulfide-bonded intrachain loops, a transmembrane domain, and an intracellular domain.</p>		

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## RECEPTOR ON THE SURFACE OF ACTIVATED T-CELLS: ACT-4

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### TECHNICAL FIELD

This invention relates generally to the isolation and characterization of a cell-surface receptor, termed ACT-4, and antibodies thereto, and the use of the antigen and  
10 antibodies for monitoring and/or modulating immune responses.

### BACKGROUND OF THE INVENTION

Immune responses are largely mediated by a diverse collection of peripheral blood cells termed leukocytes. The  
15 leukocytes include lymphocytes, granulocytes and monocytes. Granulocytes are further subdivided into neutrophils, eosinophils and basophils. Lymphocytes are further subdivided into T and B lymphocytes. T-lymphocytes originate from lymphocytic-committed stem cells of the embryo.  
20 Differentiation occurs in the thymus and proceeds through prothymocyte, cortical thymocyte and medullary thymocyte intermediate stages, to produce various types of mature T-cells. These subtypes include CD8<sup>+</sup> T cells (also known as cytotoxic/suppressor T cells), which, when activated, have the  
25 capacity to lyse target cells, and CD4<sup>+</sup> T cells (also known as T helper and T inducer cells), which, when activated, have the capacity to stimulate other immune system cell types.

Immune system responses are elicited in several differing situations. The most frequent response is as a  
30 desirable protection against infectious microorganisms. However, undesired immune response can occur following transplantation of foreign tissue, or in an autoimmune disease, in which one of a body's own antigens is the target for the immune response. Immune responses can also be  
35 initiated *in vitro* by mitogens or antibodies against certain receptors. In each of these situations, an immune response is transduced from a stimulating event via a complex interaction of leukocytic cell types. However, the participating cell

types and nature of the interaction between cell types may vary for different stimulating events. For example, immune responses against invading bacteria are often transduced by formation of complexes between an MHC Class II receptor and a bacterial antigen, which then activate CD4<sup>+</sup> T-cells. By contrast, immune responses against viral infections are principally transduced by formation of MHC Class I/viral antigen complexes and subsequent activation of CD8<sup>+</sup> cells.

Over recent years, many leukocyte cell surface antigens have been identified, some of which have been shown to have a role in signal transduction. It has been found that signals may be transduced between a cell-surface receptor and either a soluble ligand or a cell-surface-bound ligand. The amino acid sequences of leukocyte surface molecules comprise a number of characteristic recurring sequences or motifs. These motifs are predicted to be related in evolution, have similar folding patterns and mediate similar types of interactions. A number of superfamilies, including the immunoglobulin and nerve growth factor receptor superfamilies, have been described. Members of the nerve growth factor receptor family include NGFR, found on neural cells; the B-cell antigen CD40; the rat OX-40 antigen, found on activated CD4<sup>+</sup> cells (Mallet et al., EMBO J. 9:1063-1068 (1990) (hereby incorporated by reference for all purposes); two receptors for tumor necrosis factor (TNF), LTNR-1 and TNFR-II, found on a variety of cell types; 4-1BB found on T-cells; SFV-T2, an open reading frame in Shope fibroma virus; and possibly fas, CD27 and CD30. See generally Mallet & Barclay, *Immunology Today* 12:220-222 (1990) (hereby incorporated by reference for all purposes).

The identification of cell-surface receptors has suggested new agents for suppressing undesirable immune responses such as transplant rejection, autoimmune disease and inflammation. Agents, particularly antibodies, that block receptors of immune cells from binding to soluble molecules or cell-bound receptors can impair immune responses. Ideally, an agent should block only undesired immune responses (e.g., transplant rejection) while leaving a residual capacity to

effect desirable responses (e.g., responsive to pathogenic microorganisms). The immunosuppressive action of some agents, for example, antibodies against the CD3 receptor and the IL-2 receptor have already been tested in clinical trials.

5 Although some trials have shown encouraging results, significant problems remain. First, a patient may develop an immune response toward the blocking agent preventing continued immunosuppressive effects unless different agents are available. Second, cells expressing the target antigen may be  
10 able to adapt to the presence of the blocking agent by ceasing to express the antigen, while retaining immune functions. In this situation, continued treatment with a single immunosuppressive agent is ineffective. Third, many targets for therapeutic agents are located on more than one leukocyte  
15 subtype, with the result that it is generally not possible to selectively block or eliminate the response of only specific cellular subtypes and thereby leave unimpaired a residual immune capacity for combating infectious microorganisms.

Based on the foregoing it is apparent that a need  
20 exists for additional and improved agents capable of suppressing immune responses, particularly agents capable of selective suppression. The present invention fulfills these and other needs, in part, by providing a cellular receptor localized on activated human CD4<sup>+</sup> T-lymphocytes.

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#### SUMMARY OF THE INVENTION

In one embodiment of the invention, purified ACT-4 receptor polypeptides are provided. The amino acid sequence of one such polypeptide, termed ACT-4-h-1, is shown in Fig. 5.  
30 ACT-4 receptor polypeptides typically exhibit at least 80% amino acid sequence identity to the ACT-4-h-1 amino acid sequence. The polypeptides usually comprise at least one, and sometimes all of the following domains: a signal sequence, an intracellular domain, a transmembrane domain and an  
35 extracellular domain. Many polypeptides are characterized by their presence on activated CD4<sup>+</sup> T-cells and their substantial absence on resting T-cells. Some full-length polypeptides

have a molecular weight of about 50 kDa before deglycosylation and about 27 kDa thereafter.

The invention also provides extracellular domains of ACT-4 receptor polypeptides. The extracellular domains typically comprise at least one disulfide-bonded loop and sometimes three such loops. The extracellular domains are usually soluble and capable of specific binding to an ACT-4 ligand. Sometimes an extracellular domain is fused to a second polypeptide such as a constant region of an immunoglobulin heavy chain. Some extracellular domains consist essentially of an epitope specifically bound by an antibody designated L106.

In another aspect of the invention, antibodies that specifically bind to an ACT-4-h-1 receptor polypeptide are provided. The antibodies are usually monoclonal antibodies. One example of such an antibody is designated L106. Some antibodies inhibit activation of CD4<sup>+</sup> T-cells, whereas other antibodies stimulate activation of these cells. Some antibodies of the invention compete with the L106 antibody for specific binding to an ACT-4-h-1 receptor polypeptide, and most of these antibodies also compete with L106 for specific binding to activated CD4<sup>+</sup> T-cells. Other antibodies of the invention specifically bind to a different epitope than that bound by the L106 antibody. Also provided are fragments of the L106 antibody that specifically bind to an ACT-4-h-1 receptor polypeptide.

Also provided are humanized antibodies comprising a humanized heavy chain and a humanized light chain. The humanized light chain comprises three complementarity determining regions (CDR1, CDR2 and CDR3) having amino acid sequences from the corresponding complementarity determining regions of a L106 antibody light chain, and having a variable region framework sequence substantially identical to a human light chain variable region framework sequence. The humanized heavy chain comprises three complementarity determining regions (CDR1, CDR2 and CDR3) having amino acid sequences from the corresponding complementarity determining regions of an L106 antibody heavy chain, and having a variable region

framework sequence substantially identical to a human heavy chain variable region framework sequence. The humanized antibodies specifically bind to an ACT-4-h-1 receptor polypeptide with a binding affinity that is within three-fold of the binding affinity of the L106 antibody.

In another aspect, the invention provides nucleic acids fragments encoding the ACT-4 receptor polypeptides discussed supra. An example of such a nucleic acid fragment comprises the nucleotide sequence encoding the ACT-4-h-1 receptor shown in Fig. 5. The nucleic acid fragments typically exhibit at least eighty percent sequence identity to the nucleic acid sequence of Fig. 5.

The invention also provides isolated cell lines containing the nucleic acid fragments discussed supra. The cell lines usually express an ACT-4 receptor polypeptide on their cell surface. Some of the cell lines are stable, as when the nucleic acid fragment is incorporated in the genome of the cell line.

The invention also provides methods of screening for immunosuppressive agents. An ACT-4-h-1 receptor polypeptide is contacted with a potential immunosuppressive agent. Specific binding between the ACT-4-h-1 receptor polypeptide or fragment and the agent is then detected. The existence of specific binding is indicative of immunosuppressive activity.

The invention also provides methods of screening for an ACT-4 ligand. A biological sample containing the ACT-4 ligand is contacted with an ACT-4-h-1 receptor polypeptide. A complex is formed between the ligand and the ACT-4-h-1 receptor polypeptide. The complex is then dissociated to obtain the ligand.

In another aspect, the invention provides methods of suppressing an immune response in a patient suffering from an immune disease or condition. A therapeutically effective dose of a pharmaceutical composition is administered to the patient. The pharmaceutical composition comprises a pharmaceutically active carrier and a monoclonal antibody that specifically binds to an ACT-4-h-1 receptor polypeptide.

Also provided are methods of detecting activated CD4<sup>+</sup> T-cells. A tissue sample from a patient is contacted with a monoclonal antibody that specifically binds to an ACT-4-h-1 receptor polypeptide. Specific binding between the monoclonal antibody and the tissue sample is detected. The existence of specific binding reveals the presence of activated CD4<sup>+</sup> T-cells. The presence of activated CD4<sup>+</sup> T-cells is often diagnostic of a disease or condition of the immune system.

Also provided are methods of inducing an immune response to a selected antigen. A monoclonal antibody that specifically binds to an ACT-4-h-1 receptor polypeptide and that stimulates activation of CD4<sup>+</sup> T-cells is administered to a patient. The patient is exposed to the selected antigen.

The invention also provides ACT-4 ligands that specifically bind to an ACT-4-h-1 receptor polypeptide.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1: Two-color staining of peripheral blood lymphocytes to analyze expression of ACT-4-h-1 on different cell types.

Fig. 2: Kinetics of ACT-4-h-1 expression on alloantigen-activated CD4<sup>+</sup> T-cells. MCF = Mean channel fluorescence.

Fig. 3: Kinetics of ACT-4-h-1 expression on tetanus-toxoid-activated CD4<sup>+</sup> T-cells.

Fig. 4: Kinetics of ACT-4-h-1 expression on PHA-activated CD4<sup>+</sup> T-cells.

Fig. 5: cDNA (upper) and deduced amino acid sequence (lower) of ACT-4-h-1. The Figure indicates the locations of an N-terminal signal sequence, two possible signal cleavage sites (vertical arrows), two glycosylation sites (gly), a transmembrane domain (TM), a stop codon and a poly-A signal sequence.

Fig. 6: Construction of expression vector for production of stable transfectants expressing ACT-4-h-1.



Fig. 7: FACS™ analysis showing expression of ACT-4-h-1 on stable transfectants of COS-7, Jurkat and SP2/O cell lines.

Fig. 8: Fusion of an ACT-4-h-1 extracellular domain with an immunoglobulin heavy chain constant region to form a recombinant globulin.

Fig. 9: Schematic topographical representation of recombinant globulin formed from fusion of an ACT-4-h-1 extracellular domain with an immunoglobulin heavy chain constant region to form a recombinant globulin.

#### DEFINITIONS

Abbreviations for the twenty naturally occurring amino acids follow conventional usage (*Immunology - A Synthesis*, (E.S. Golub & D.R. Gren, eds., Sinauer Associates, Sunderland, MA, 2nd ed., 1991) (hereby incorporated by reference for all purposes). Stereoisomers (e.g., D-amino acids) of the twenty conventional amino acids, unnatural amino acids such as  $\alpha,\alpha$ -disubstituted amino acids, N-alkyl amino acids, lactic acid, and other unconventional amino acids may also be suitable components for polypeptides of the present invention. Examples of unconventional amino acids include: 4-hydroxyproline,  $\gamma$ -carboxyglutamate,  $\epsilon$ -N,N,N-trimethyllysine,  $\epsilon$ -N-acetyllysine, O-phosphoserine, N-acetylserine, N-formylmethionine, 3-methylhistidine, 5-hydroxylysine,  $\omega$ -N-methylarginine, and other similar amino acids and imino acids (e.g., 4-hydroxyproline). In the polypeptide notation used herein, the left-hand direction is the amino terminal direction and the right-hand direction is the carboxy-terminal direction, in accordance with standard usage and convention. Similarly, unless specified otherwise, the lefthand end of single-stranded polynucleotide sequences is the 5' end; the lefthand direction of double-stranded polynucleotide sequences is referred to as the 5' direction. The direction of 5' to 3' addition of nascent RNA transcripts is referred to as the transcription direction; sequence regions on the DNA strand having the same sequence as the RNA and which are 5' to the 5' end of the RNA transcript are referred to as "upstream

sequences"; sequence regions on the DNA strand having the same sequence as the RNA and which are 3' to the 3' end of the RNA transcript are referred to as "downstream sequences".

5 The phrase "polynucleotide sequence" refers to a single or double-stranded polymer of deoxyribonucleotide or ribonucleotide bases read from the 5' to the 3' end. It includes self-replicating plasmids, infectious polymers of DNA or RNA and non-functional DNA or RNA.

10 The following terms are used to describe the sequence relationships between two or more polynucleotides: "reference sequence", "comparison window", "sequence identity", "percentage of sequence identity", and "substantial identity". A "reference sequence" is a defined sequence used as a basis for a sequence comparison; a reference sequence may  
15 be a subset of a larger sequence, for example, as a segment of a full-length cDNA or gene sequence given in a sequence listing, such as a polynucleotide sequence shown in Fig. 5, or may comprise a complete cDNA or gene sequence. Generally, a reference sequence is at least 20 nucleotides in length,  
20 frequently at least 25 nucleotides in length, and often at least 50 nucleotides in length. Since two polynucleotides may each (1) comprise a sequence (i.e., a portion of the complete polynucleotide sequence) that is similar between the two polynucleotides, and (2) may further comprise a sequence that  
25 is divergent between the two polynucleotides, sequence comparisons between two (or more) polynucleotides are typically performed by comparing sequences of the two polynucleotides over a "comparison window" to identify and compare local regions of sequence similarity. A "comparison  
30 window", as used herein, refers to a conceptual segment of at least 20 contiguous nucleotide positions wherein a polynucleotide sequence may be compared to a reference sequence of at least 20 contiguous nucleotides and wherein the portion of the polynucleotide sequence in the comparison  
35 window may comprise additions or deletions (i.e., gaps) of 20 percent or less as compared to the reference sequence (which does not comprise additions or deletions) for optimal alignment of the two sequences. Optimal alignment of

sequences for aligning a comparison window may be conducted by the local homology algorithm of Smith & Waterman, *Appl. Math.* 2:482 (1981), by the homology alignment algorithm of Needleman & Wunsch, *J. Mol. Biol.* 48:443 (1970), by the search for similarity method of Pearson & Lipman, *Proc. Natl. Acad. Sci. (USA)* 85:2444 (1988), by computerized implementations of these algorithms (FASTDB (Intelligenetics), BLAST (National Center for Biomedical Information) or GAP, BESTFIT, FASTA, and TFASTA (Wisconsin Genetics Software Package Release 7.0, Genetics Computer Group, 575 Science Dr., Madison, WI)), or by inspection, and the best alignment (i.e., resulting in the highest percentage of sequence similarity over the comparison window) generated by the various methods is selected. The term "sequence identity" means that two polynucleotide sequences are identical (i.e., on a nucleotide-by-nucleotide basis) over the window of comparison. The term "percentage of sequence identity" is calculated by comparing two optimally aligned sequences over the window of comparison, determining the number of positions at which the identical nucleic acid base (e.g., A, T, C, G, U, or I) occurs in both sequences to yield the number of matched positions, dividing the number of matched positions by the total number of positions in the window of comparison (i.e., the window size), and multiplying the result by 100 to yield the percentage of sequence identity. The terms "substantial identity" as used herein denotes a characteristic of a polynucleotide sequence, wherein the polynucleotide comprises a sequence that has at least 70, 80 or 85 percent sequence identity, preferably at least 90 to 95 percent sequence identity, more usually at least 99 percent sequence identity as compared to a reference sequence over a comparison window of at least 20 nucleotide positions, frequently over a window of at least 25-50 nucleotides, wherein the percentage of sequence identity is calculated by comparing the reference sequence to the polynucleotide sequence which may include deletions or additions which total 20 percent or less of the reference sequence over the window of comparison. The reference sequence may be a subset of a

larger sequence, for example, as a segment of the full-length ACT-4-h-1 sequence shown in Fig 5.

As applied to polypeptides, the term "substantial identity" means that two peptide sequences, when optimally aligned, such as by the programs BLAZE (Intelligenetics) GAP or BESTFIT using default gap weights, share at least 70 percent or 80 percent sequence identity, preferably at least 90 percent sequence identity, more preferably at least 95 percent sequence identity or more (e.g., 99 percent sequence identity). Preferably, residue positions which are not identical differ by conservative amino acid substitutions. Conservative amino acid substitutions refer to the interchangeability of residues having similar side chains. For example, a group of amino acids having aliphatic side chains is glycine, alanine, valine, leucine, and isoleucine; a group of amino acids having aliphatic-hydroxyl side chains is serine and threonine; a group of amino acids having amide-containing side chains is asparagine and glutamine; a group of amino acids having aromatic side chains is phenylalanine, tyrosine, and tryptophan; a group of amino acids having basic side chains is lysine, arginine, and histidine; and a group of amino acids having sulfur-containing side chains is cysteine and methionine. Preferred conservative amino acids substitution groups are: valine-leucine-isoleucine, phenylalanine-tyrosine, lysine-arginine, alanine-valine, and asparagine-glutamine.

The term "substantially pure" means an object species is the predominant species present (i.e., on a molar basis it is more abundant than any other individual species in the composition), and preferably a substantially purified fraction is a composition wherein the object species comprises at least about 50 percent (on a molar basis) of all macromolecular species present. Generally, a substantially pure composition will comprise more than about 80 to 90 percent of all macromolecular species present in the composition. Most preferably, the object species is purified to essential homogeneity (contaminant species cannot be detected in the composition by conventional detection methods)

wherein the composition consists essentially of a single macromolecular species.

5           The term "naturally-occurring" as used herein as applied to an object refers to the fact that an object can be found in nature. For example, a polypeptide or polynucleotide sequence that is present in an organism (including viruses) that can be isolated from a source in nature and which has not been intentionally modified by man in the laboratory is naturally-occurring.

10           The term "epitope" includes any protein determinant capable of specific binding to an immunoglobulin or T-cell receptor. Specific binding exists when the dissociation constant for antibody binding to an antigen is  $\leq 1 \mu\text{M}$ , preferably  $\leq 100 \text{ nM}$  and most preferably  $\leq 1 \text{ nM}$ . Epitopic  
15 determinants usually consist of chemically active surface groupings of molecules such as amino acids or sugar side chains and usually have specific three dimensional structural characteristics, as well as specific charge characteristics.

          The term "higher cognate variants" as used herein  
20 refers to a gene sequence that is evolutionarily and functionally related between humans and higher mammalian species, such as primates, porcines and bovines. The term does not include gene sequences from rodents, such as rats. Thus, the cognate primate gene to the ACT-4-h-1 gene is the  
25 primate gene which encodes an expressed protein which has the greatest degree of sequence identity to the ACT-4-h-1 receptor protein and which exhibits an expression pattern similar to that of the ACT-4-h-1 protein (i.e., expressed on activated CD4<sup>+</sup> cells).

30           The term "patient" includes human and veterinary subjects.

#### DETAILED DESCRIPTION

##### I. ACT-4 Receptor Polypeptides

35           According to one embodiment of the invention, receptors on the surface of activated CD4<sup>+</sup> T-cells (referred to as ACT-4 receptors) and fragments thereof are provided. The term ACT-4 receptor polypeptide is used generically to

encompass full-length receptors and fragments thereof. The amino acid sequence of the first ACT-4 receptor to be characterized [hereinafter ACT-4-h-1] is shown in Fig. 5. The suffix -h designates human origin and the suffix -1 indicates that ACT-4-h-1 is the first ACT-4 receptor to be characterized. The term ACT-4 receptor refers not only to the protein having the sequence shown in Fig. 5, but also to other proteins that represent allelic, nonallelic, and higher cognate variants of ACT-4-h-1, and natural or induced mutants of any of these. Usually, ACT-4 receptor polypeptides will also show substantial sequence identity with the ACT-4-h-1 sequence. Typically, an ACT-4 receptor polypeptide will contain at least 4 and more commonly 5, 6, 7, 10 or 20, 50 or more contiguous amino acids from the ACT-4-h-1 sequence. It is well known in the art that functional domains, such as binding domains or epitopes can be formed from as few as four amino acids residues.

ACT-4 receptor polypeptides will typically exhibit substantial amino acid sequence identity with the amino acid sequence of ACT-4-h-1, and be encoded by nucleotide sequences that exhibit substantial sequence identity with the nucleotide sequence encoding ACT-4-h-1 shown in Fig. 5. The nucleotides encoding ACT-4 receptor proteins will also typically hybridize to the ACT-4-h-1 sequence under stringent conditions. However, these nucleotides will not usually hybridize under stringent conditions to the nucleic acid encoding OX-40 receptor, as described by Mallet et al., *EMBO J.* 9:1063-68 (1990) (hereby incorporated by reference for all purposes) (See particularly Figure 2A of the Mallet et al. reference). Stringent conditions are sequence dependent and will be different in different circumstances. Generally, stringent conditions are selected to be about 5° C lower than the thermal melting point (T<sub>m</sub>) for the specific sequence at a defined ionic strength and pH. The T<sub>m</sub> is the temperature (under defined ionic strength and Ph) at which 50% of the target sequence hybridizes to a perfectly matched probe. Typically, stringent conditions will be those in which the salt concentration is at least about 0.02 molar at Ph 7 and

the temperature is at least about 60°C. As other factors may significantly affect the stringency of hybridization, including, among others, base composition and size of the complementary strands, the presence of organic solvents and the extent of base mismatching, the combination of parameters is more important than the absolute measure of any one.

Usually, ACT-4 receptor polypeptides will share at least one antigenic determinant in common with ACT-4-h-1 but will not be specifically reactive with antibodies against the rat OX-40 polypeptide. The existence of a common antigenic determinant is evidenced by cross-reactivity of the variant protein with any antibody prepared against ACT-4-h-1 (see Section IV). Cross-reactivity is often tested using polyclonal sera against ACT-4-h-1, but can also be tested using one or more monoclonal antibodies against ACT-4-h-1, such as the antibody designated L106.

Often ACT-4 receptor polypeptides will contain modified polypeptide backbones. Modifications include chemical derivatizations of polypeptides, such as acetylations, carboxylations and the like. They also include glycosylation modifications (N- and O-linked) and processing variants of a typical polypeptide. These processing steps specifically include enzymatic modifications, such as ubiquitination and phosphorylation. See, e.g., Hershko & Ciechanover, *Ann. Rev. Bioch.* 51:335-364 (1982). The ACT-4-h-1 protein, for example, is heavily modified in that the observed molecular weight is about 50 kDa, whereas the predicted molecular weight based on amino acid sequence is only 27 kDa. Two putative glycosylation sites have been identified in its extracellular domain.

ACT-4 receptors likely share some or all of the topological features found for ACT-4-h-1. The amino acid sequence for ACT-4-h-1 contains a 22 or 24 amino acid putative N-terminal signal sequence. The 24 amino acid sequence is more probably based on the criteria of von Heijne, *Nucleic Acids Res.* 14: 4683-4690 (1986) (incorporated by reference for all purposes). The ACT-4-h-1 receptor contains a single additional hydrophobic stretch of 27 amino acids spanning

residues 213-240. The hydrophobic stretch probably corresponds to a transmembrane domain and its existence is consistent with ACT-4-h-1 being a type I integral membrane protein (*i.e.*, having a single transmembrane domain with the N-terminal domain comprising the extracellular region and the C-terminus comprising the intracellular region). The 189 or 191 amino acids (depending on the exact location of the signal cleavage site) of ACT-4-h-1 amino-proximal to the transmembrane segment are designated the extracellular domain, while the 37 amino acids carboxy-proximal to the transmembrane segment are designated the intracellular domain. From the amino-terminus, the extracellular domain has an NH<sub>2</sub>-terminal hydrophobic putative signal sequence, and three intrachain loops formed by disulfide bonding between paired cysteine residues.

The topological arrangement of ACT-4 receptor polypeptides is similar to that of other members of the nerve growth factor receptor family, particularly to the rat OX-40 receptor. However, the other members show some divergence in the number of extracellular disulfide loops and glycosylation sites and in the size of the intracellular domain. See Mallet & Barclay, *supra*.

Although not all of the domains discussed above are necessarily present in all ACT-4 receptor polypeptides, an extracellular domain is expected to be present in most. Indeed, in some ACT-4 receptor polypeptides, it is possible that only an extracellular domain is present, and the natural state of such proteins is not as cell-surface bound proteins, but as soluble proteins, for example, dispersed in an extracellular body fluid. The existence of soluble variant forms has been observed for other cell surface receptors, including one member of the nerve growth factor receptor family, SFV-T2. See Mallet & Barclay, *supra*.

Besides substantially full-length polypeptides, the present invention provides for biologically active fragments of the polypeptides. Significant biological activities include receptor binding, antibody binding (*e.g.*, the fragment competes with an intact ACT-4 receptor for specific binding to



an antibody), immunogenicity (i.e., possession of epitopes that stimulate B or T cell responses against the fragment), and agonism or antagonism of the binding of an ACT-4 receptor polypeptide to its ligand. A segment of an ACT-4 receptor protein or a domain thereof will ordinarily comprise at least  
5 about 5, 7, 9, 11, 13, 16, 20, 40, or 100 contiguous amino acids.

Segments of ACT-4 receptor polypeptides are often terminated near boundaries of functional or structural  
10 domains. Structural and functional domains are identified by comparison of nucleotide and/or amino acid sequence data such as is shown in Fig. 5 to public or proprietary sequence databases. Preferably, computerized comparison methods are used to identify sequence motifs or predicted protein  
15 conformation domains that occur in other proteins of known structure and/or function. Structural domains include an intracellular domain, transmembrane domain, and extracellular domain, which is in turn contains three disulfide-bonded loops. Functional domains include an extracellular binding  
20 domain through which the ACT-4 receptor polypeptide interacts with external soluble molecules or other cell-bound ligands and an intracellular signal-transducing domain.

Some fragments will contain only extracellular domains, such as one or more disulfide-bonded loops. Such  
25 fragments will often retain the binding specificity of an intact ACT-4 receptor polypeptide, but will be soluble rather than membrane bound. Such fragments are useful as competitive inhibitors of ACT-4 receptor binding.

ACT-4 receptors are further identified by their  
30 status as members of the nerve growth factor receptor family. The amino acid sequence of ACT-4-h-1 is at least 20% identical to NGF-R, TNF-R, CD40, 4-1BB, and fas/AP01. ACT-4-h-1 exhibits 62% amino acid sequence identity with the rat OX-40 gene, which is also characterized by selective expression on  
35 activated CD4<sup>+</sup> cells.

ACT-4 receptors are also identified by a characteristic cellular distribution. Most notably, ACT-4 receptors are usually easily detected on activated CD4<sup>+</sup> T

cells (percent cells expressing usually greater than about 25 or 50% and often about 80%; mean channel fluorescence usually greater than about 10 and often about 20-25, on a Coulter Profile Flow Cytometer after immunofluorescence staining).

5 ACT-4 receptors are usually substantially absent on resting T-cells, B-cells (unless activated with PMA), NK cells, and monocytes (unless activated with PMA). Substantially absent means that the percentage of cells expressing ACT-4 is usually less than about 5%, and more usually less than about 2%, and  
10 that the mean channel is usually less than about 4, and more usually less than about 2, measured on a Coulter Profile Flow Cytometer, after immunofluorescence staining of the cells. (See Example 2) ACT-4 receptors are usually expressed at low levels on activated CD8<sup>+</sup> cells (percent cells expressing about  
15 4-10%; mean channel fluorescence about 2-4 on a Coulter Profile Flow Cytometer after immunofluorescence staining). The low level of expression observed on CD8<sup>+</sup> cells suggests that expression is confined to a subpopulation of CD8<sup>+</sup> cells. The expression of ACT-4 receptors on the surface of activated CD4<sup>+</sup>  
20 cells has been observed for several different mechanisms of activation, including alloantigenic, tetanus toxoid or mitogenic (e.g., PHA) stimuli. Expression peaks after about 7 days of allogantigenic or tetanus toxoid stimulation and after about three days of PHA stimulation. These data indicate that  
25 ACT-4 receptors should be classified as early activation antigens that are substantially absent on resting cells. The observation that ACT-4 receptors are preferentially expressed on activated CD4<sup>+</sup> cells and are expressed to a much lesser extent on activated CD8<sup>+</sup> cells, but are substantially absent  
30 on most or all other subtypes of lymphoid cells (except in response to highly nonphysiological stimuli such as PMA) contrasts with the cell type specificity of other activation antigens found on human leukocytes.

The expression of ACT-4 receptors on the surface of  
35 activated CD4<sup>+</sup> T cells suggests that the receptor has a role in activation of these cells. Such a role is consistent with that of some other members of the nerve growth factor receptor family. For example, CD40 stimulates the G1-S phase

transition in B lymphocytes, and nerve growth factor receptor transduces a signal from the cytokine nerve growth factor., which results in neuronal differentiation and survival (Barde, Y-A. *Neuron* 2: 1525-1534 (1989)) (incorporated by reference for all purposes). However, other roles for ACT-4 receptors can also be envisaged, for example, interaction with other lymphoid cell types. The existence of such roles is consistent with the diverse functions of other nerve growth factor receptor family members, such as tumor necrosis factor, whose interaction with tumor necrosis factor receptor can result in inflammation or tumor cell death.

Fragments or analogs comprising substantially one or more functional domain (e.g., an extracellular domain) of ACT-4 receptors can be fused to heterologous polypeptide sequences, such that the resultant fusion protein exhibits the functional property(ies) conferred by the ACT-4 receptor fragment and/or the fusion partner. The orientation of the ACT-4 receptor fragment relative to the fusion partner will depend on experimental considerations such as ease of construction, stability to proteolysis, thermal stability, immunological reactivity, amino- or carboxyl-terminal residue modification, and so forth. Potential fusion partners include chromogenic enzymes such as  $\beta$ -galactosidase, protein A or G, a FLAG protein such as described by Blancar & Rutter, Science 256:1014-1018 (1992), toxins (e.g., diphtheria toxin, Psuedonomas ectotoxin A, ricin toxin or phospholipase C) and immunoglobulin components.

Recombinant globulins (Rg) formed by fusion of ACT-4 receptor fragments and immunoglobulin components often have most or all of the physiological properties associated with the constant region of the particular immunoglobulin class used. For example, the recombinant globulins may be capable of fixing complement, mediating antibody dependent cell toxicity, stimulating B cells, or traversing blood vessel walls and entering the interstitial space. The recombinant globulins are usually formed by fusing the C-terminus of an ACT-4 receptor extracellular domain to the N-terminus of the constant region domain of a heavy chain immunoglobulin,

thereby simulating the conformation of an authentic immunoglobulin chain. The immunoglobulin chain is preferably of human origin, particularly if the recombinant globulin is intended for therapeutic use. Recombinant globulins are usually soluble and have a number of advantageous properties relative to unmodified ACT-4 receptors. These properties include prolonged serum half-life, the capacity to lyse target cells for which an ACT-4 receptor has affinity, by effector functions, and the capacity to bind molecules such as protein A and G, which can be used to immobilize the recombinant globulin in binding analyses.

## II. Methods of producing polypeptides

### A. Recombinant Technologies

The nucleotide and amino acid sequences of ACT-4-h-1 shown in Fig. 5, and corresponding sequences for other ACT-4 receptor variants obtained as described in Section III, *infra*, allow production of polypeptides of full-length ACT-4 receptor polypeptides sequences and fragments thereof. Such polypeptides may be produced in prokaryotic or eukaryotic host cells by expression of polynucleotides encoding ACT-4 receptor, or fragments and analogs thereof. The cloned DNA sequences are expressed in hosts after the sequences have been operably linked to (i.e., positioned to ensure the functioning of) an expression control sequence in an expression vector. Expression vectors are typically replicable in the host organisms either as episomes or as an integral part of the host chromosomal DNA. Commonly, expression vectors will contain selection markers, e.g., tetracycline resistance or hygromycin resistance, to permit detection and/or selection of those cells transformed with the desired DNA sequences (see, e.g., U.S. Patent 4,704,362).

*E. coli* is one prokaryotic host useful for cloning the DNA sequences of the present invention. Other microbial hosts suitable for use include bacilli, such as *Bacillus subtilis*, and other Enterobacteriaceae, such as *Salmonella*, *Serratia*, and various *Pseudomonas* species. In these prokaryotic hosts, one can also make expression vectors, which

will typically contain expression control sequences compatible with the host cell (e.g., an origin of replication). In addition, any number of a variety of well-known promoters will be present, such as the lactose promoter system, a tryptophan (trp) promoter system, a beta-lactamase promoter system, or a promoter system from phage lambda. The promoters will typically control expression, optionally with an operator sequence, and have ribosome binding site sequences and the like, for initiating and completing transcription and translation.

Other microbes, such as yeast, may also be used for expression. *Saccharomyces* is a preferred host, with suitable vectors having expression control sequences, such as promoters, including 3-phosphoglycerate kinase or other glycolytic enzymes, and an origin of replication, termination sequences and the like as desired. Insect cells (e.g., SF9) with appropriate vectors, usually derived from baculovirus, are also suitable for expressing ACT-4 receptor or ligand polypeptides. See Luckow, et al. *Bio/Technology* 6:47-55 (1988) (incorporated by reference for all purposes).

Higher eukaryotic mammalian tissue cell culture may also be used to express and produce the polypeptides of the present invention (see Winnacker, *From Genes to Clones* (VCH Publishers, NY, NY, 1987)) (incorporated by reference for all purposes). Eukaryotic cells are actually preferred, because a number of suitable host cell lines capable of secreting and authentically modifying human proteins have been developed in the art, and include the CHO cell lines, various COS cell lines, HeLa cells, myeloma cell lines, Jurkat cells, etc. Expression vectors for these cells can include expression control sequences, such as an origin of replication, a promoter (e.g., a HSV tk promoter or *pgk* (phosphoglycerate kinase) promoter), an enhancer (Queen et al., *Immunol. Rev.* 89:49 (1986)), and necessary processing information sites, such as ribosome binding sites, RNA splice sites, polyadenylation sites (e.g., an SV40 large T Ag poly A addition site), and transcriptional terminator sequences. Preferred expression control sequences are promoters derived from

immunoglobulin genes, SV40, adenovirus, bovine papillomavirus, and the like. The vectors containing the DNA segments of interest (e.g., polypeptides encoding an ACT-4 receptor) can be transferred into the host cell by well-known methods, which vary depending on the type of cellular host. For example,  $\text{CaCl}_2$  transfection is commonly utilized for prokaryotic cells, whereas  $\text{CaPO}_4$  treatment or electroporation may be used for other cellular hosts. Vectors may exist as episome or integrated into the host chromosome.

10

#### B. Naturally Occurring ACT-4 Receptor Proteins

Natural ACT-4 receptor polypeptides are isolated by conventional techniques such as affinity chromatography. For example, polyclonal or monoclonal antibodies are raised against previously-purified ACT-4-h-1 and attached to a suitable affinity column by well known techniques. See, e.g., Hudson & Hay, *Practical Immunology* (Blackwell Scientific Publications, Oxford, UK, 1980), Chapter 8 (incorporated by reference for all purposes). For example, anti-ACT-4-h-1 can be immobilized to a protein-A sepharose column via crosslinking of the  $F_c$  domain with a homobifunctional crosslinking agent, such as dimethyl pimelimidate. Cell extracts are then passed through the column, and ACT-4 receptor protein specifically bound by the column, eluted with, for example, 0.5 M pyrogenic acid, pH 2.5. Usually, an intact form of ACT-4 receptor is obtained by such isolation techniques. Peptide fragments are generated from intact ACT-4 receptors by chemical (e.g., cyanogen bromide) or enzymatic cleavage (e.g., V8 protease or trypsin) of the intact molecule.

30

#### C. Other Methods

Alternatively, ACT-4 receptor polypeptides can be synthesized by chemical methods or produced by *in vitro* translation systems using a polynucleotide template to direct translation. Methods for chemical synthesis of polypeptides and *in vitro* translation are well known in the art, and are described further by Berger & Kimmel, *Methods in Enzymology*,

35

Volume 152, *Guide to Molecular Cloning Techniques* Academic Press, Inc., San Diego, CA, 1987).

### III. Nucleic Acids

#### 5        A. Cloning ACT-4 Receptor Nucleic Acids

Example 5 presents nucleic acid sequence data for a cDNA clone of an ACT-4 receptor designated ACT-4-h-1. The sequence includes both a translated region and 3' and 5' flanking regions. This sequence data can be used to design probes with which to isolate other ACT-4 receptor genes. These genes include the human genomic gene encoding ACT-4-h-1, and cDNAs and genomic clones from higher mammalian species, and allelic and nonallelic variants, and natural and induced mutants of all of these genes. Specifically, all nucleic acid fragments encoding all ACT-4 receptor polypeptides disclosed in this application are provided. Genomic libraries of many species are commercially available (e.g., Clontech, Palo Alto, CA), or can be isolated de novo by conventional procedures. cDNA libraries are best prepared from activated CD4<sup>+</sup> cells, which express ACT-4-h-1 in large amounts.

The probes used for isolating clones typically comprise a sequence of about at least 24 contiguous nucleotides (or their complement) of the cDNA sequence shown in Fig. 5. For example, a full-length polynucleotide corresponding to the sequence shown in Fig. 5 can be labeled and used as a hybridization probe to isolate genomic clones from a human genomic clone library in e.g.,  $\lambda$ EMBL4 or  $\lambda$ GEM11 (Promega Corporation, Madison, WI); typical hybridization conditions for screening plaque lifts (Benton & Davis, *Science* 196:180 (1978)) can be: 50% formamide, 5 x SSC or SSPE, 1-5 x Denhardt's solution, 0.1-1% SDS, 100-200  $\mu$ g sheared heterologous DNA or tRNA, 0-10% dextran sulfate,  $1 \times 10^5$  to  $1 \times 10^7$  cpm/ml of denatured probe with a specific activity of about  $1 \times 10^8$  cpm/ $\mu$ g, and incubation at 42°C for about 6-36 hours. Prehybridization conditions are essentially identical except that probe is not included and incubation time is typically reduced. Washing conditions are typically 1-3 x SSC, 0.1-1% SDS, 50-70°C with change of wash solution at about

5-30 minutes. Hybridization and washing conditions are typically less stringent for isolation of higher cognate or nonallelic variants than for e.g., the human genomic clone of ACT-4-h-1.

5                   Alternatively, probes can be used to clone ACT-4  
receptor genes by methods employing the polymerase chain  
reaction (PCR). Methods for PCR amplification are described  
in e.g., *PCR Technology: Principles and Applications for DNA*  
*Amplification* (ed. H.A. Erlich, Freeman Press, NY, NY, 1992);  
10 *PCR Protocols: A Guide to Methods and Applications* (eds.  
Innis, et al., Academic Press, San Diego, CA, 1990); Mattila  
et al., *Nucleic Acids Res.* 19:4967 (1991); Eckert, K.A. and  
Kunkel, T.A., *PCR Methods and Applications* 1:17 (1991); PCR  
(eds. McPherson et al., IRL Press, Oxford); and U.S. Patent  
15 4,683,202 (each of which is incorporated by reference for all  
purposes).

                  Alternatively, synthetic polynucleotide sequences  
corresponding to all or part of the sequences shown in Fig. 5  
may be constructed by chemical synthesis of oligonucleotides.

20                   Nucleotide substitutions, deletions, and additions  
can be incorporated into the polynucleotides of the invention.  
Nucleotide sequence variation may result from degeneracy of  
the genetic code, from sequence polymorphisms of various ACT-4  
receptor alleles, minor sequencing errors, or may be  
25 introduced by random mutagenesis of the encoding nucleic acids  
using irradiation or exposure to EMS, or by changes engineered  
by site-specific mutagenesis or other techniques of modern  
molecular biology. See Sambrook et al., *Molecular Cloning: A*  
*Laboratory Manual* (C.S.H.P. Press, NY 2d ed., 1989)  
30 (incorporated by reference for all purposes). For nucleotide  
sequence that are capable of being transcribed and translated  
to produce a functional polypeptide, degeneracy of the genetic  
code results in a number of nucleotide sequences that encode  
the same polypeptide. The invention includes all such  
35 sequences. Generally, nucleotide substitutions, deletions,  
and additions should not substantially disrupt the ability of  
an ACT-4 receptor polynucleotide to hybridize to the sequence  
of ACT-4-h-1 shown in Fig. 5 under stringent conditions.



Typically, ACT-4 receptor polynucleotides comprise at least 25 consecutive nucleotides which are substantially identical to a naturally-occurring ACT-4 receptor sequence (e.g., Fig. 5), more usually ACT-4 receptor polynucleotides comprise at least 50 to 100 consecutive nucleotides, which are substantially identical to a naturally-occurring ACT-4 receptor sequence.

ACT-4 receptor polynucleotides can be short oligonucleotides (e.g., about 10, 15, 25, 50 or 100 contiguous bases from the ACT-h-1 sequence shown in Fig. 5), such as for use as hybridization probes and PCR (or LCR) primers. ACT-4 receptor polynucleotide sequences can also comprise part of a larger polynucleotide that includes sequences that facilitate transcription (expression sequences) and translation of the coding sequences, such that the encoded polypeptide product is produced. Construction of such polynucleotides is well known in the art and is described further in Sambrook et al., *supra* (C.S.H.P. Press, NY 2d ed. 1989). The ACT-4 receptor polynucleotide can be fused in frame with another polynucleotide sequence encoding a different protein (e.g., glutathione S-transferase,  $\beta$ -galactosidase or an immunoglobulin F<sub>c</sub> domain) for encoding expression of a fusion protein (see, e.g., Byrn et al., *Nature*, 344:667-670 (1990)) (incorporated by reference for all purposes).

#### IV. Antibodies and Hybridomas

In another embodiment of the invention, antibodies against ACT-4 receptors and to their ligands (see Section V) are provided.

##### A. General Characteristics of Antibodies

Antibodies or immunoglobulins are typically composed of four covalently bound peptide chains. For example, an IgG antibody has two light chains and two heavy chains. Each light chain is covalently bound to a heavy chain. In turn each heavy chain is covalently linked to the other to form a "Y" configuration, also known as an immunoglobulin conformation. Fragments of these molecules, or even heavy or

light chains alone, may bind antigen. Antibodies, fragments of antibodies, and individual chains are also referred to herein as immunoglobulins.

5 A normal antibody heavy or light chain has an N-terminal ( $\text{NH}_2$ ) variable (V) region, and a C-terminal ( $-\text{COOH}$ ) constant (C) region. The heavy chain variable region is referred to as  $V_H$  (including, for example,  $V_{H\gamma}$ ), and the light chain variable region is referred to as  $V_L$  (including  $V_{L\kappa}$  or  $V_{L\lambda}$ ). The variable region is the part of the molecule that  
10 binds to the antibody's cognate antigen, while the  $\text{Fc}$  region (the second and third domains of the C region) determines the antibody's effector function (e.g., complement fixation, opsonization). Full-length immunoglobulin or antibody "light chains" (generally about 25 kDa, about 214 amino acids) are  
15 encoded by a variable region gene at the N-terminus (generally about 110 amino acids) and a  $\kappa$  (kappa) or  $\lambda$  (lambda) constant region gene at the COOH-terminus. Full-length immunoglobulin or antibody "heavy chains" (generally about 50 Kd, about 446 amino acids), are similarly encoded by a variable region gene  
20 (generally encoding about 116 amino acids) and one of the constant region genes, e.g., gamma (encoding about 330 amino acids). Typically, the " $V_L$ " will include the portion of the light chain encoded by the  $V_L$  and/or  $J_L$  (J or joining region) gene segments, and the " $V_H$ " will include the portion of the  
25 heavy chain encoded by the  $V_H$ , and/or  $D_H$  (D or diversity region) and  $J_H$  gene segments. See, generally, Roitt et al., *Immunology* (2d ed. 1989), Chapter 6 and Paul, *Fundamental Immunology* (Raven Press, 2d ed., 1989) (each of which is incorporated by reference for all purposes).

30 An immunoglobulin light or heavy chain variable region consists of a "framework" region interrupted by three hypervariable regions, also called complementarity-determining regions or CDRs. The extent of the framework region and CDRs have been defined (see Kabat et al. (1987), "Sequences of  
35 Proteins of Immunological Interest," U.S. Department of Health and Human Services; Chothia et al., *J. Mol. Biol.* 196:901-917 (1987) (each of which is incorporated by reference for all purposes). The sequences of the framework regions of

different light or heavy chains are relatively conserved within a species. The framework region of an antibody, that is the combined framework regions of the constituent light and heavy chains, serves to position and align the CDRs in three dimensional space. The CDRs are primarily responsible for binding to an epitope of an antigen. The CDRs are typically referred to as CDR1, CDR2, and CDR3, numbered sequentially starting from the N-terminus.

The constant region of the heavy chain molecule, also known as  $C_H$ , determines the isotype of the antibody. Antibodies are referred to as IgM, IgD, IgG, IgA, and IgE depending on the heavy chain isotype. The isotypes are encoded in the mu ( $\mu$ ), delta ( $\Delta$ ), gamma ( $\gamma$ ), alpha ( $\alpha$ ), and epsilon ( $\epsilon$ ) segments of the heavy chain constant region, respectively. In addition, there are a number of  $\gamma$  subtypes. There are two types of light chains,  $\kappa$  and  $\lambda$ . The determinants of these subtypes typically reside in the constant region of the light chain, also referred to as the  $C_L$  in general, and  $C_\kappa$  or  $C_\lambda$  in particular.

The heavy chain isotypes determine different effector functions of the antibody, such as opsonization or complement fixation. In addition, the heavy chain isotype determines the secreted form of the antibody. Secreted IgG, IgD, and IgE isotypes are typically found in single unit or monomeric form. Secreted IgA isotype is found in pentameric form; secreted IgA can be found in both monomeric and dimeric form.

#### B. Production of Antibodies

Antibodies which bind either an ACT-4 receptor, a ligand thereto, or binding fragments of either, can be produced by a variety of means. The production of non-human monoclonal antibodies, e.g., murine, rat and so forth, is well known and may be accomplished by, for example, immunizing the animal with a preparation containing an ACT-4 receptor or its ligands, or an immunogenic fragment of either of these. Particularly, useful as immunogens are cells stably transfected with recombinant ACT-4 receptor genes and

expressing ACT-4 receptors on their cell surface. Antibody-producing cells obtained from the immunized animals are immortalized and screened for the production of an antibody which binds to ACT-4 receptors or their ligands. See Harlow & Lane, *Antibodies, A Laboratory Manual* (C.S.H.P. NY, 1988) (incorporated by reference for all purposes).

Several techniques for generation of human monoclonal antibodies have also been described but are generally more onerous than murine techniques and not applicable to all antigens. See, e.g., Larrick et al., U.S. Patent No. 5,001,065, for review (incorporated by reference for all purposes). One technique that has successfully been used to generate human monoclonal antibodies against a variety of antigens is the trioma methodology of Ostberg et al. (1983), *Hybridoma* 2:361-367, Ostberg, U.S. Patent No. 4,634,664, and Engleman et al., US Patent 4,634,666 (incorporated by reference for all purposes). The antibody-producing cell lines obtained by this method are called triomas, because they are descended from three cells--two human and one mouse. Triomas have been found to produce antibody more stably than ordinary hybridomas made from human cells.

An alternative approach is the generation of humanized immunoglobulins by linking the CDR regions of non-human antibodies to human constant regions by recombinant DNA techniques. See Queen et al., *Proc. Natl. Acad. Sci. USA* 86:10029-10033 (1989) and WO 90/07861 (incorporated by reference for all purposes). The humanized immunoglobulins have variable region framework residues substantially from a human immunoglobulin (termed an acceptor immunoglobulin) and complementarity determining regions substantially from a mouse immunoglobulin, e.g., the L106 antibody (referred to as the donor immunoglobulin). The constant region(s), if present, are also substantially from a human immunoglobulin. The human variable domains are usually chosen from human antibodies whose framework sequences exhibit a high degree of sequence identity with the murine variable region domains from which the CDRs were derived. The heavy and light chain variable

region framework residues can be derived from the same or different human antibody sequences. The human antibody sequences can be the sequences of naturally occurring human antibodies or can be consensus sequences of several human antibodies. See Carter et al., WO 92/22653. Certain amino acids from the human variable region framework residues are selected for substitution based on their possible influence on CDR conformation and/or binding to antigen. Investigation of such possible influences is by modeling, examination of the characteristics of the amino acids at particular locations, or empirical observation of the effects of substitution or mutagenesis of particular amino acids.

For example, when an amino acid differs between a murine L106 variable region framework residue and a selected human variable region framework residue, the human framework amino acid should usually be substituted by the equivalent framework amino acid from the mouse antibody when it is reasonably expected that the amino acid:

- (1) noncovalently binds antigen directly,
- (2) is adjacent to a CDR region,
- (3) otherwise interacts with a CDR region (e.g. is within about 3Å of a CDR region), or
- (3) participates in the VL-VH interface.

Other candidates for substitution are acceptor human framework amino acids that are unusual for a human immunoglobulin at that position. These amino acids can be substituted with amino acids from the equivalent position of the L106 antibody or from the equivalent positions of more typical human immunoglobulins.

A further approach for isolating DNA sequences which encode a human monoclonal antibody or a binding fragment thereof is by screening a DNA library from human B cells according to the general protocol outlined by Huse et al., *Science* 246:1275-1281 (1989) and then cloning and amplifying the sequences which encode the antibody (or binding fragment) of the desired specificity. The protocol described by Huse is rendered more efficient in combination with phage display technology. See, e.g., Dower et al., WO 91/17271 and

McCafferty et al., WO 92/01047. Phage display technology can also be used to mutagenize CDR regions of antibodies previously shown to have affinity for ACT-4 receptors or their ligands. Antibodies having improved binding affinity are  
5 selected.

Anti-ACT-4 receptor antibodies that specifically bind to the same epitope as the L106 antibody are usually identified by a competitive binding assay. The assay has three components, an ACT-4 polypeptide (e.g., ACT-4-h-1), L106  
10 antibody, which is usually labelled, and the antibody under test. Often the ACT-4 receptor polypeptide is immobilized to a solid support. The test antibody binds to the same epitope as the L106 antibody if it reduces the amount of L106 antibody that specifically binds to the ACT-4 receptor polypeptide.  
15 The extent of screening necessary to obtain such antibodies can be reduced by generating antibodies with a protocol in which the specific epitope bound by L106 is used as an immunogen. Antibodies binding to the same epitope as L106 may exhibit a substantially, but not completely, identical amino  
20 acid sequence to the L106 antibody, or may have an unrelated primary structure to the L106 antibody.

Anti-ACT-4 receptor antibodies having a different binding specificity than L106 (i.e., which bind to a different epitope) are identified by a complementary approach. Test  
25 antibodies are screened for failure to compete with the L106 antibody for binding to an ACT-4 receptor polypeptide. The extent of screening can be reduced by generating antibodies with a protocol in which a fragment lacking a specific epitope bound by L106 is used as an immunogen.

30 Antibodies having the capacity to stimulate or inhibit activation of CD4<sup>+</sup> cells can be identified by the screening procedures discussed in Section VI, *infra*. Some antibodies may selectively inhibit activation in response to some stimuli (e.g., alloantigenic but not mitogenic, or vice  
35 versa), and not to others. Some antibodies' inhibitory capacity may depend on the time after activation at which the antibody is added. Some antibodies may have the capacity to activate CD4<sup>+</sup> cells independently of other stimuli, whereas

other anti-ACT-4 receptor antibodies may only have the capacity to augment the efficacy of another stimulus such as that provided by PHA.

Antibodies isolated by the above procedures can be used to generate anti-idiotypic antibodies by, for example, immunization of an animal with the primary antibody. For anti-ACT-4 receptor antibodies, anti-idiotypic antibodies whose binding to the primary antibody is inhibited by ACT-4 receptors or fragments thereof are selected. Because both the anti-idiotypic antibody and the ACT-4 receptors or fragments thereof bind the primary immunoglobulin, the anti-idiotypic immunoglobulin may represent the "internal image" of an epitope and thus may substitute for the ACT-4 ligand.

#### 15        C. Epitope Mapping

The epitope bound by the L106 or any other anti-ACT-4 receptor antibody is determined by providing a family of fragments containing different amino acid segments from an ACT-4 receptor polypeptide, such as ACT-4-h-1. Each fragment typically comprises at least 4, 6, 8, 10, 20, 50 or 100 contiguous amino acids. Collectively, the family of polypeptide covers much or all of the amino acid sequence of a full-length ACT-4 receptor polypeptide. Members of the family are tested individually for binding to e.g., the L106 antibody. The smallest fragment that can specifically bind to the antibody under test delineates the amino acid sequence of the epitope recognized by the antibody.

#### 30        D. Fragments of Antibodies, and Immunotoxins

In another embodiment of the invention, fragments of antibodies against ACT-4 receptors or their ligands are provided. Typically, these fragments exhibit specific binding to the ACT-4 receptor with an affinity of at least  $10^7$  M, and more typically  $10^8$  or  $10^9$  M. Antibody fragments include separate heavy chains, light chains Fab, Fab' F(ab')<sub>2</sub>, Fabc, and Fv. Fragments are produced by recombinant DNA techniques, or by enzymic or chemical separation of intact immunoglobulins.

In another embodiment, immunotoxins are provided. An immunotoxin is a chimeric compound consisting of a toxin linked to an antibody having a desired specificity. The antibody serves as a targeting agent for the toxin. See generally Pastan et al., *Cell* 47:641-648 (1986). A toxin moiety is couple to an intact antibody or a fragment thereof by chemical or recombinant DNA techniques. Preferably, the toxin is linked to an immunoglobulin chain in the form of a contiguous protein. See, e.g. Chovnick et al., *Cancer Res.* 51:465; Chaudhary et al., *Nature* 339:394 (1989) (incorporated by reference for all purposes). Examples of suitable toxin components are listed in Section I, *supra*, and are reviewed in e.g., *The Specificity and Action of Animal, Bacterial and Plant Toxins* (ed. P. Cuatrecasas, Chapman Hall, London, 1976) (incorporated by reference for all purposes).

#### E. Hybridomas and Other Cell Lines

All hybridomas, triomas and other cell lines producing the antibodies and their fragments discussed, *supra*, are expressly included in the invention. These include the hybridoma line HBL106, deposited as ATCC HB 11483, which produces the L106 mouse antibody.

#### F. Uses of Antibodies

Anti-ACT-4 receptor antibodies and their binding fragments are useful for screening cDNA expression libraries, preferably containing human or primate cDNA derived from various tissues and for identifying clones containing cDNA inserts, which encode structurally-related, immunocrossreactive proteins. See Aruffo & Seed, *Proc. Natl. Acad. Sci. USA* 84:8573-8577 (1987) (incorporated by reference for all purposes). Antibodies are also useful to identify and/or purify immunocrossreactive proteins that are structurally or evolutionarily related to the native ACT-4 receptor polypeptides or to fragments thereof used to generate the antibody. Antibodies against ACT-4 ligands are analogously useful in isolating further ligands and variants thereof. Diagnostic and therapeutic uses of antibodies,



binding fragments thereof, immunotoxins and idiotypic antibodies are described in Section VII, *infra*.

#### V. ACT-4 Ligands

5           The term ACT-4 ligand is used to denote a protein that specifically binds to an ACT-4 receptor polypeptide and that is capable of forming a complex with such a polypeptide, at least in part, by noncovalent binding. Ligands can be naturally-occurring or synthetic molecules, and can be in  
10       soluble form or anchored to the surface of a cell. Multiple different ligands may bind the same ACT-4 receptor. Conversely, one ligand may bind to more than one ACT-4 receptor. The term "ACT-4 ligand" does not usually include antibodies to ACT-4 receptor polypeptides. Usually, binding  
15       of a ligand to an ACT-4 receptor will initiate a signal that alters the physical and/or functional phenotype of a cell bearing the ACT-4 receptor and/or a cell bearing the ACT-4 ligand. Antibodies against either ACT-4 or its ligands can have the capacity to block or stimulate signal transduction.  
20       It will, of course, be recognized the designation of ACT-4 as a receptor and its specific binding partner as a ligand is somewhat arbitrary and might, in some circumstances, be reversed.

          ACT-4 ligands are expected to share some of the  
25       properties of other ligands which bind to members of the nerve growth factor receptor superfamily. These ligands include the cytokines TNF- $\alpha$ , TNF- $\beta$ , CD40-L, CD-27-L and CD30-L. With the exception of TNF- $\beta$ , these ligands exist both as type II integral membrane cell surface proteins and as soluble  
30       proteins. The extracellular domains of these ligands consist of about 150 amino acids and form several  $\beta$ -pleated sheets, which assemble into a slitted cylindrical structure (termed a "jelly role" by Bazan et al., *Current Biology* 3:603-606 (1993)) (incorporated by reference for all purposes).

35       Source materials for supplying ACT-4 ligands are identified by screening different cell types, particularly lymphoid and hematopoietic cells, bodily fluids and tissue extracts, with labelled ACT-4 receptor, preferably in soluble

form, as a probe. Often, the ACT-4 receptor or a binding fragment thereof is fused to a second protein for purposes of screening. Particularly suitable are recombinant globulins formed by fusing the extracellular portion of ACT-4 to the  
5 constant region of an immunoglobulin heavy chain.

ACT-4 ligands are purified from cells or other biological materials identified by this screening method using techniques of classical protein chemistry. Such techniques include selective precipitation with such substances as  
10 ammonium sulfate, column chromatography, immunopurification methods, and others. See, e.g., R. Scopes, *Protein Purification: Principles and Practice* (Springer-Verlag, NY, 1982) (incorporated by reference for all purposes). Usually, purification procedures will include an affinity  
15 chromatography step in which an ACT-4 polypeptide or a binding fragment thereof is used as the immobilized reagent. ACT-4-constant regions can be conveniently immobilized by binding of the constant region moiety to protein A or G. ACT-4 ligands can also be purified using anti-idiotypic antibodies to ACT-4  
20 receptors as the affinity reagent.

To determine the amino acid sequence or to obtain polypeptide fragments of the receptor, the receptor may be digested with trypsin. Peptide fragments may be separated by reversed-phase high performance liquid chromatography (HPLC)  
25 and analyzed by gas-phase sequencing. Other sequencing methods known in the art may also be used. The sequence data can be used to design degenerate probes for isolation of cDNA or genomic clones encoding ACT-4 ligands.

Alternatively, cDNA clones encoding ACT-4 ligands  
30 can be obtained by expression cloning. In this approach, a cDNA library is prepared from cells expressing an ACT-4 ligand (identified as discussed, *supra*). The library is expressed in appropriate cells (e.g., COS-7), and clones bearing the ACT-4 ligand are identified by screening with labelled ACT-4 or  
35 binding fragment thereof, optionally fused to a constant domain of an immunoglobulin heavy chain.

The ACT-4 ligands or their binding domains can be used to affinity purify respective ACT-4 receptors. ACT-4

ligands and binding fragments thereof are also useful as agonists or antagonists of ACT-4 ligand binding, and can be used in the therapeutic methods discussed in Section VII, *infra*. For membrane-bound ACT-4 ligands, binding fragments  
5 will comprise part of the extracellular domain of an ACT-4 receptor. ACT-4 ligands and fragments thereof are also useful in screening assays for identifying agonists and antagonists of ACT-4 and/or its ligand. ACT-4 ligands can be fused to other protein, such as toxins and immunoglobulin constant  
10 domains, as discussed, *supra*, for ACT-4 receptors.

#### VI. Screening for Agonists and Antagonists

ACT-4 receptor and ACT-4 ligand fragments, analogs thereof, antibodies and anti-idiotypic antibodies thereto, as  
15 well as other chemical or biological agents are screened for their ability to block or enhance binding of an ACT-4 ligand to its receptor. In addition, they are tested for their ability to stimulate or inhibit metabolic processes, such as DNA synthesis or protein phosphorylation in cells bearing  
20 either an ACT-4 receptor or an ACT-4 ligand anchored to their surfaces.

In some methods, the compound under test is screened for its ability to block or enhance binding of a purified binding fragment of an ACT-4 receptor (or fusion protein  
25 thereof) to a purified binding fragment of an ACT-4 ligand (or fusion protein thereof). In such experiments, either the receptor or ligand fragment is usually immobilized to a solid support. The test compound then competes with an ACT-4 ligand or receptor fragment (whichever is not attached to the  
30 support) for binding to the support. Usually, either the test compound or the competing ligand or receptor is labelled.

In other methods, either or both of the ACT-4 receptor and ligand, or binding fragments of these molecules, are expressed on a cell surface. For example, ACT-4-h-1  
35 antigen is expressed from recombinant DNA in e.g., COS-7 cells (see Example 6). In these methods, the existence of agonism or antagonism is determined from the degree of binding between an ACT-4 receptor and its ligand that occurs in the presence

of the test compound. Alternatively, activity of the test compound is assayed by measurement of  $^3\text{H}$ -thymidine incorporation into DNA or  $^{32}\text{P}$  incorporation into proteins in cells bearing an ACT-4 receptor and/or cells bearing an ACT-4  
5 ligand.

Compounds that block ACT-4-induced DNA synthesis or protein phosphorylation are antagonists. Compounds that activate DNA synthesis or phosphorylation via interaction with an ACT-4 receptor or its ligand are agonists. Agonistic or  
10 antagonistic activity can also be determined from other functional or physical endpoints of leukocyte activation, or from clinically desirable or undesirable outcomes, such as cytolytic activity, or extravasation of leukocytes into tissues from blood vessels.

15 The ability of agents to agonize or antagonize T-cell proliferation *in vitro* can be correlated with the ability to affect the immune response *in vivo*. *In vivo* activity is typically assayed using suitable animal models such as mice or rats. To assay the effect of agents on allograft rejection,  
20 for example, potential therapeutic agents can be administered to the animals at various times before introduction of the allogeneic tissue; and the animals can be monitored for graft rejection. Suitable methods for performing the transplant and monitoring for graft rejection have been described (see, e.g.,  
25 Hislop et al., *J. Thorac. Cardiovasc.* 100:360-370 (1990)) (incorporated by reference for all purposes).

## VII. Therapeutic and Diagnostic Methods and Compositions

### A. Diagnostic Methods

30 Diseases and conditions of the immune system associated with an altered abundance, or functional mutation, of an ACT-4 receptor or its mRNA, or an ACT-4 ligand or its mRNA may be diagnosed using the probes and/or antibodies of the present invention. The provision of antibodies against  
35 the ACT-4 receptor and nucleic acid probes complementary to its mRNA allows activated  $\text{CD4}^+$  T-cells to be distinguished from other leukocyte subtypes. The presence of such cells is indicative of a MHC class II induced immune response against,

e.g., invading bacteria. Comparison of the numbers of activated CD4<sup>+</sup> cells and CD8<sup>+</sup> cells may allow differential diagnosis between bacterial and viral infections, which predominantly induce these respective activated cell types.

5 The presence of activated CD4<sup>+</sup> cells is also indicative of undesirable diseases and conditions of the immune system, such as allograft rejection, graft versus host disease, autoimmune diseases, allergies and inflammation. The efficacy of therapeutic agents in treating such diseases and conditions  
10 can be monitored.

Diagnosis can be accomplished by removing a cellular sample (e.g., blood sample, lymph node biopsy or tissue) from a patient. The sample is then subjected to analysis for determining: (1) the amount of expressed ACT-4 receptor or  
15 ligand in individual cells of the sample (e.g., by immunohistochemical staining of fixed cells with an antibody or FACS™ analysis), (2) the amount of ACT-4 receptor or ligand mRNA in individual cells (by *in situ* hybridization with a labelled complementary polynucleotide probe), (3) the amount  
20 of ACT-4 receptor or ligand mRNA in the cellular sample by RNA extraction followed by hybridization to a labeled complementary polynucleotide probe (e.g., by Northern blotting, dot blotting, solution hybridization or quantitative PCR), or (4) the amount of ACT-4 receptor or ligand in the  
25 cellular sample (e.g., by cell disruption followed by immunoassay or Western blotting of the resultant cell extract).

Diagnosis can also be achieved by *in vivo* administration of a diagnostic reagent (e.g., a labelled anti-  
30 ACT-4 receptor antibody for diagnosis of activated CD4<sup>+</sup> T-cells) and detection by *in vivo* imaging. The concentration of diagnostic agent administered should be sufficient that the binding to those cells having the target antigen is detectable compared to the background signal. Further, it is desirable  
35 that the diagnostic reagent can be rapidly cleared from the circulatory system in order to give the best target-to-background signal ratio. The diagnostic reagent can be labelled with a radioisotope for camera imaging, or a

paramagnetic isotope for magnetic resonance or electron spin resonance imaging.

5 A change (typically an increase) in the level of protein or mRNA of an ACT-4 receptor or ligand in a cellular sample from an individual, which is outside the range of clinically established normal levels, may indicate the presence of an undesirable immune reaction in the individual from whom the sample was obtained, and/or indicate a predisposition of the individual for developing (or  
10 progressing through) such a reaction. Protein or mRNA levels may be employed as a differentiation marker to identify and type cells of certain lineages (i.e., activated CD4<sup>+</sup> cells for the ACT-4 receptor) and developmental origins. Such cell-type specific detection may be used for histopathological diagnosis  
15 of undesired immune responses.

#### B. Diagnostic Kits

In another aspect of the invention, diagnostic kits are provided for the diagnostic methods described *supra*. The  
20 kits comprise container(s) enclosing the diagnostic reagents, such as labelled antibodies against ACT-4 receptors, and reagents and/or apparatus for detecting the label. Other components routinely found in such kits may also be included together with instructions for performing the test.

25

#### C. Pharmaceutical Compositions

The pharmaceutical compositions used for prophylactic or therapeutic treatment comprise an active therapeutic agent, for example, an ACT-4 receptor, ligand,  
30 fragments thereof, and antibodies and idiotypic antibodies thereto, and a variety of other components. The preferred form depends on the intended mode of administration and therapeutic application. The compositions may also include, depending on the formulation desired, pharmaceutically-  
35 acceptable, non-toxic carriers or diluents, which are defined as vehicles commonly used to formulate pharmaceutical compositions for animal or human administration. The diluent is selected so as not to affect the biological activity of the

combination. Examples of such diluents are distilled water, physiological saline, Ringer's solutions, dextrose solution, and Hank's solution. In addition, the pharmaceutical composition or formulation may also include other carriers, 5 adjuvants, or nontoxic, nontherapeutic, nonimmunogenic stabilizers and the like.

#### D. Therapeutic Methods

The therapeutic methods employ the therapeutic agents discussed above for treatment of various diseases in 10 humans or animals, particularly vertebrate mammals. The therapeutic agents include ACT-4 receptors, binding fragments thereof, ACT-4 ligands, binding fragments thereof, anti-ACT-4 receptor and ligand antibodies and anti-idiotypic antibodies thereto, 15 binding fragments of these antibodies, humanized versions of these antibodies, immunotoxins, and other agents discussed, *supra*. Some therapeutic agents function by blocking or otherwise antagonizing the action of an ACT-4 receptor with its ligand. Other therapeutic agents function 20 by killing cells bearing a polypeptide against which the agent is targeted. For example, anti-ACT-4 receptor antibodies with effector functions or which are conjugated to toxins, radioisotopes or drugs are capable of selectively killing activated CD4<sup>+</sup> T-cells. Selective elimination of such cells 25 is particularly advantageous because an undesirable immune response can be reduced or eliminated, while preserving a residual immune capacity in the form of inactivated CD4<sup>+</sup> cells and CD8<sup>+</sup> cells to combat invading microorganisms to which a patient may subsequently be exposed. Other therapeutic agents 30 function as agonists of the interaction between the ACT-4 receptor and ligand.

##### 1. Dosages and Methods of Administration

In therapeutic applications, a pharmaceutical composition (e.g., comprising an anti-ACT-4 receptor antibody) 35 is administered, in vivo or ex vivo, to a patient already suffering from an undesirable immune response (e.g., transplant rejection), in an amount sufficient to cure,

partially arrest, or detectably slow the progression of the condition, and its complications. An amount adequate to accomplish this is defined as a "therapeutically effective dose" or "efficacious dose." Amounts effective for this use will depend upon the severity of the condition, the general state of the patient, and the route of administration, and combination with other immunosuppressive drugs, if any, but generally range from about 10 ng to about 1 g of active agent per dose, with single dosage units of from 10 mg to 100 mg per patient being commonly used. Pharmaceutical compositions can be administered systemically by intravenous infusion, or locally by injection. The latter is particularly useful for localized undesired immune response such as host versus graft rejection. For a brief review of methods for drug delivery, see Langer, *Science* 249:1527-1533 (1990) (incorporated by reference for all purposes).

In prophylactic applications, pharmaceutical compositions are administered to a patients at risk of, but not already suffering an undesired immune reaction (e.g., a patient about to undergo transplant surgery). The amount of antibody to be administered is a "prophylactically effective dose," the precise amounts of which will depend upon the patient's state of health and general level of immunity, but generally range from 10 ng to 1 g per dose, especially 10 mg to 100 mg per patient.

Because the therapeutic agents of the invention are likely to be more selective and generally less toxic than conventional immunomodulating agents, they will be less likely to cause the side effects frequently observed with the conventional agents. Moreover, because some of the therapeutic agents are human protein sequences (e.g., binding fragments of an ACT-4 receptor or ligand or humanized antibodies), they are less likely to cause immunological responses such as those observed with murine anti-CD3 antibodies. The therapeutic agents of the present invention can also be combined with traditional therapeutics, and can be used to lower the dose of such agents to levels below those associated with side effects. For example, other



immunosuppressive agents such as antibodies to the  $\alpha 3$  domain, T cell antigens (e.g., OKT4 and OKT3), antithymocyte globulin, as well as chemotherapeutic agents such as cyclosporine, glucocorticoids, azathioprine, prednisone can be used in  
5 conjunction with the therapeutic agents of the present invention.

For destruction of a specific population of target cells, it can be advantageous to conjugate the therapeutic agents of the present invention to another molecule. For  
10 example, the agents can be joined to liposomes containing particular immunosuppressive agents, to a specific monoclonal antibody or to a cytotoxin or other modulator of cellular activity, whereby binding of the conjugate to a target cell population will result in alteration of that population. A  
15 number of protein toxins have been discussed supra. Chemotherapeutic agents include, for example, doxorubicin, daunorubicin, methotrexate, cytotoxin, and anti-sense RNA. Antibiotics can also be used. In addition, radioisotopes such as yttrium-90, phosphorus-32, lead-212, iodine-131, or  
20 palladium-109 can be used. The emitted radiation destroys the targeted cells.

## 2. Diseases and Conditions Amenable to Treatment

The pharmaceutical compositions discussed above are suitable for treating several diseases and conditions of the immune system.

### 5           a. Transplant Rejection

Over recent years there has been a considerable improvement in the efficiency of surgical techniques for transplanting tissues and organs such as skin, kidney, liver, heart, lung, pancreas and bone marrow. Perhaps the principal  
10 outstanding problem is the lack of satisfactory agents for inducing immunotolerance in the recipient to the transplanted allograft or organ. When allogeneic cells or organs are transplanted into a host (i.e., the donor and donee are different individual from the same species), the host immune  
15 system is likely to mount an immune response to foreign antigens in the transplant (host-versus-graft disease) leading to destruction of the transplanted tissue. CD8<sup>+</sup> cells, CD4<sup>+</sup> cells and monocytes are all involved in the rejection of transplant tissues. The therapeutic agents of the present  
20 invention are useful to block alloantigen-induced immune responses in the donee (e.g., blockage or elimination of allogen-activation of CD4<sup>+</sup> T-cells by anti-CD4 receptor antibodies) thereby preventing such cells from participating in the destruction of the transplanted tissue or organ.

25

### b. Graft Versus Host Disease

A related use for the therapeutic agents of the present invention is in modulating the immune response involved in "graft versus host" disease (GVHD). GVHD is a  
30 potentially fatal disease that occurs when immunologically competent cells are transferred to an allogeneic recipient. In this situation, the donor's immunocompetent cells may attack tissues in the recipient. Tissues of the skin, gut epithelia and liver are frequent targets and may be destroyed  
35 during the course of GVHD. The disease presents an especially severe problem when immune tissue is being transplanted, such as in bone marrow transplantation; but less severe GVHD has also been reported in other cases as well, including heart and

liver transplants. The therapeutic agents of the present invention are used to block activation of, or eliminate, the donor T-cells (particularly activated CD4<sup>+</sup> T-cells, for therapeutic agents targeted against the ACT-4 receptor),  
5 thereby inhibiting their ability to lyse target cells in the host.

#### c. Autoimmune diseases

A further situation in which immune suppression is  
10 desirable is in treatment of autoimmune diseases such as insulin-dependent diabetes mellitus, multiple sclerosis, stiff man syndrome, rheumatoid arthritis, myasthenia gravis and lupus erythematosus. In these disease, the body develops a cellular and/or humoral immune response against one of its own  
15 antigens leading to destruction of that antigen, and potentially crippling and/or fatal consequences. Activated CD4<sup>+</sup> T-cells are believed to play a major role in many autoimmune diseases. Autoimmune diseases are treated by administering one of the therapeutic agents of the invention,  
20 particularly agents targeted against an ACT-4 receptor. Optionally, the autoantigen, or a fragment thereof, against which the autoimmune disease is targeted can be administered shortly before, concurrently with, or shortly after the immunosuppressive agent. In this manner, tolerance can be  
25 induced to the autoantigen under cover of the suppressive treatment, thereby obviating the need for continued immunosuppression. See, e.g., Cobbold et al., WO 90/15152 (1990).

#### d. Inflammation

30 Inflammation represents the consequence of capillary dilation with accumulation of fluid and migration of phagocytic leukocytes, such as granulocytes and monocytes. Inflammation is important in defending a host against a variety of infections but can also have undesirable  
35 consequences in inflammatory disorders, such as anaphylactic shock, arthritis and gout. Activated T-cells have an important modulatory role in inflammation, releasing interferon  $\gamma$  and colony stimulating factors that in turn

activate phagocytic leukocytes. The activated phagocytic leukocytes are induced to express a number of specific cells surface molecules termed homing receptors, which serve to attach the phagocytes to target endothelial cells.

5 Inflammatory responses can be reduced or eliminated by treatment with the therapeutic agents of the present invention. For example, therapeutic agents targeted against the ACT-4 receptor function by blocking activation of, or  
10 eliminating activated, CD4<sup>+</sup> cells, thereby preventing these cells from releasing molecules required for activation of phagocytic cell types.

#### e. Infectious Agents

The invention also provides methods of augmenting the efficacy of vaccines in preventing or treating diseases  
15 and conditions resulting from infectious agents. Therapeutic agents having the capacity to activate CD4<sup>+</sup> T-cells (e.g., certain monoclonal antibodies against a ACT-4-h-1 receptor polypeptide) are administered shortly before, concurrently with, or shortly after the vaccine containing a selected  
20 antigen. The therapeutic agent serves to augment the immune response against the selected antigen. These methods may be particularly advantageous in patients suffering from immune deficiency diseases.

25 The following examples are offered to illustrate, but not to limit, the invention.

#### EXAMPLES

##### Example 1: A Monoclonal Antibody Against ACT-4-h-1

30 Mice were immunized with PHA-transformed T-lymphoblasts. Splenocytes from immunized mice were fused with SP2/O myeloma cells and hybridomas secreting antibodies specific for the T-cell clone were selected. The hybridomas were cloned by limiting dilution. A monoclonal antibody, designated L106,  
35 produced by one of the resulting hybridoma, was selected for further characterization. The L106 antibody was found to have an IgG1 isotype. A hybridoma producing the antibody, designated HBL106 has been deposited at the American Type

Culture Collection at Rockville, Maryland, on 3 November 1993, and assigned ATCC Accession No. ATCC HB 11483.

Example 2: Cellular Distribution of Polypeptide Recognized by L106 Antibody

Samples containing the antibody L106 were made available to certain participants at the Fourth International Workshop and Conference on Human Leucocyte Differentiation Antigens (Vienna 1989) for the purpose of identifying tissue and cell types which bind to the L106 antibody. The data from the workshop are presented in *Leukocyte Typing IV* (ed. W, Knapp, Oxford U. Press, 1989) (incorporated by reference for all purposes) and an accompanying computer data base available from Walter R. Gilks, MRC Biostatistics Unit, Cambridge University, England. This reference reports the L106 antibody binds a polypeptide of about 50 kDa. This polypeptide was reported to be present on HUT-102 cells (a transformed T-cell line), PHA-activated peripheral blood lymphocytes, an EBV-transformed B-lymphoid cell line, and HTLV-II transformed T-cell line, PMA-activated tonsil cells, ConA- or PHA-activated PBLs, and PMA-activated monocytes. The polypeptide was reported to be substantially absent on *inter alia* resting basophils, endothelial cells, fibroblasts, interferon  $\gamma$ -activated monocytes, peripheral non-T-cells, peripheral granulocytes, peripheral monocytes, peripheral mononuclear cells, peripheral T cells, and peripheral red blood cells.

The present inventors have obtained data indicating that the 50 kDa polypeptide (hereinafter "ACT-4-h-1 receptor") is preferentially expressed on the CD4<sup>+</sup> subspecies of activated T-cells. In one series of experiments, cell-specific ACT-4-h-1 expression was analyzed on unfractionated PBLs by a two-color staining method. PBL were activated with PHA for about two days (using the culture conditions described in Example 3), and analyzed for cell-surface expression of ACT-4-h-1 on different cellular subtypes by staining with two differently-labelled antibodies (FITC and PE labels). Labels were detected by FACS™ analysis essentially as described by Picker et al., *J. Immunol.* 150:1105-1121 (1993) (incorporated

by reference for all purposes). One antibody, L106, was specific for ACT-4-h-1, the other antibody was specific for a particular leukocyte subtype. Fig. 1 shows three charts in which L106 staining is shown on the Y-axis of each chart, and anti-CD4, anti-CD8 and anti-CD19 staining as the X-axes of the respective charts. For the chart stained with anti-CD4, many cells appear as double positives (i.e., express both CD4 and ACT-4-h-1). For the chart stained with anti-CD8, far fewer cells appear as double positives. For the chart stained with anti-CD19 (a B-cell marker), double-positive cells are substantially absent.

In another series of experiments expression of ACT-4-h-1 was analyzed by single-color staining on isolated cell types. Cells were stained with fluorescently labelled L106 antibody and the label was detected by FACS™ analysis. See Engleman et al., *J. Immunol.* 127:2124-2129 (1981) (incorporated by reference for all purposes). In some experiments, cells were activated by PHA stimulation for about two days (again using the culture conditions described in Example 3). The results from this experiment, together with those from the two-color staining experiment described supra, are summarized in Table 1. Table 1 shows that about 80% of activated CD4<sup>+</sup> cells expressed ACT-4-h-1 with a mean channel fluorescence of >20, irrespective whether the CD4<sup>+</sup> cells are isolated (one-color staining) or in unfractionated PBLs (two-color staining). The level of expression of ACT-4-h-1 on activated CD8<sup>+</sup> cells is much lower than on activated CD4<sup>+</sup> T-cells in the two-color staining experiment, and very much lower in the one-color staining. Thus, the extent of expression on activated CD8<sup>+</sup> cells appears to depend on whether the CD8<sup>+</sup> cells are fractionated from other PBLs before activation. In unfractionated CD8<sup>+</sup> cells (two-color staining), about 10% of cells express ACT-4-h-1, with a mean channel fluorescence of about 4. In the fractionated cells, only about 4% of cells express ACT-4-h-1 with a mean channel fluorescence of about 2. These data suggest that ACT-4-h-1 is expressed only on a small subtype of activated CD8<sup>+</sup> cells and

that this subtype is somewhat more prevalent when the CD8<sup>+</sup> cells are activated in the presence of other PBLs.

Table 1 also indicates that ACT-4-h-1 was substantially absent on all resting leukocyte subtypes tested (i.e., CD4<sup>+</sup> T-cells, CD8<sup>+</sup> T-cells, CD19<sup>+</sup> B-cells, CD14<sup>+</sup> monocytes, granulocytes and platelets), and was also substantially absent on activated B-cells and monocytes. ACT-4-h-1 was also found to be substantially absent on most tumor cell lines tested. However, Molt3, Raji and NC37 cell lines did show a low level of expression.

TABLE 1

## CELL SPECIFICITY OF ACT-4-h-1 EXPRESSION

5	<u>Expression of ACT-4-h-1</u>	
	<u>% Cells</u>	<u>MCF<sup>1</sup></u>
	Two Color Staining	
10	CD4 <sup>+</sup> T-Cells (resting)	<2
	CD4 <sup>+</sup> T-Cells (activated) <sup>2</sup>	80
	CD8 <sup>+</sup> T-Cells (resting)	<2
	CD8 <sup>+</sup> T-Cells (activated)	10
	CD19 <sup>+</sup> B-Cells (resting)	<2
15	CD19 <sup>+</sup> B-Cells (activated)	<2
	CD14 <sup>+</sup> Monocytes (resting)	<2
	CD14 <sup>+</sup> Monocytes (activated)	<2
	One Color Staining	
20	PBLs (resting)	<2
	PBLs (activated)	50
	CD4 <sup>+</sup> (resting)	<2
	CD4 <sup>+</sup> (activated)	80
	CD8 <sup>+</sup> (resting)	<2
25	CD8 <sup>+</sup> (activated)	4
	Granulocytes	<2
	Platelets	<2
30	Tumor Lines	
	Molt-4, CEM, Hut 78, H9, Jurkat	<2
	HPB-ALL, Sezary, T-AU	<2
	Molt-3	20
35	B-LCL, Arent, RML, JY, KHY, PGf	<2
	MSAB, CESS, 9037, 9062	<2
	Dandi, Ramos, Namalwa	<2
	Raji, NC37	30
40	U937, THP-1, HL-60	<2
	Kgla, K562, HEL	<2

45 <sup>1</sup>MCF = Mean Channel Fluorescence.

<sup>2</sup>Cells indicated as "activated" had been stimulated with PHA for about three days.

50

Example 3: Time Course of ACT-4-h-1 Expression Responsive to CD4<sup>+</sup> T-cell Activation

CD4<sup>+</sup> T-cells were tested for expression of ACT-4-h-1 receptors in response to various activating stimuli. CD4<sup>+</sup> T-cells were purified from peripheral blood mononuclear cells by

55



solid-phase immunoadsorption ("panning").  $5 \times 10^4$  CD4<sup>+</sup> T-cells were cultured with an activating agent in microtiter wells containing RPMI medium supplemented with 10% human serum. Three different activating agents were used: (1)  $5 \times 10^4$  irradiated (3000 rads) monocytes, (2) PHA (1  $\mu$ g/ml) and (3) tetanus toxoid (5  $\mu$ g/ml). <sup>3</sup>H-thymidine was added to the cultures 12-16 h before harvest. After harvest, cells were tested for the expression of cell surface antigens by incubation with various labelled antibodies (L106, anti-CD4 and anti-CD8), as described by Engleman et al., *J. Immunol.* 127:2124-2129 (1981).

Figure 2 shows the appearance of ACT-4-h-1 in response to alloantigen activation. Before activation, no expression was observed. The percentage of cells expressing the ACT-4-h-1 receptor increases with time, peaking at about 30% after about seven days of alloantigen activation. The results also show that essentially all cells expressing ACT-4-h-1 also expressed the CD4 receptor and that essentially no such cells expressed the CD8 receptor. Figure 3 presents similar data for the appearance of ACT-4-h-1 in response to tetanus toxoid activation. Again, the percentage of cells expressing ACT-4-h-1 peaked at about seven days. However, at this time a higher percentage of cells (about 60%) expressed the receptor. Figure 4 presents similar data for the appearance of ACT-4-h-1 on CD4<sup>+</sup> T-cells in response to PHA activation. In this situation, the percentage of CD4<sup>+</sup> T-cells expressing the receptor peaks at about 65% after three days of activation.

It is concluded that ACT-4-h-1 is a CD4<sup>+</sup> T-cell activation antigen that is expressed in response to diverse activating stimuli.

#### Example 4: Cloning ACT-4-h-1 cDNA

The cDNA clone for the ACT-4-h-1 receptor was isolated using a slightly modified COS cell expression system, first developed by Aruffo & Seed, *supra*. RNA was isolated from 72-hour PHA activated human peripheral blood lymphocytes. Total RNA was extracted with TRI-reagent (Molecular Research Center), and poly(A)<sup>+</sup> RNA was isolated by oligo dT-magnetic

bead purification (Promega). cDNA was synthesized by the method of Gubler & Hoffman, *Gene* 25:263-369 (1982) using superscript reverse transcriptase (Gibco/BRL) and an oligo dT primer. The blunted cDNA was ligated to non-self-  
5 complementary BstXl adaptors and passed over a sephacryl S-400 spin column to remove unligated adaptors and small fragments (<300 base pairs). The linkered cDNA was then ligated into a BstXl cut eukaryotic expression vector, pcDNA-IRL, an ampicillin resistant version of pcDNA-I (Invitrogen). The  
10 precipitated and washed products of the ligation reaction were electroporated into *E. coli* strain WM1100 (BioRad). Plating and counting of an aliquot of the transformed bacteria revealed a total count of 2 million independent clones in the unamplified library. Average insert size was determined to be  
15 1.2 kb. The bulk of the library was amplified in liquid culture, 250 ml standard LB media. Plasmid was recovered by alkaline lysis and purified over an ion-exchange column (Qiagen).

Sub-confluent COS-7 cells were transfected with the  
20 purified plasmid DNA by electroporation. Cells were plated on 100mm dishes and allowed to grow for 48 hours. Cells were recovered from the plates with PBS-EDTA solution, incubated with monoclonal antibody L106, and were panned according to standard procedures. A second round panning revealed  
25 enrichment as numerous COS cells adsorbed to the plates. Episomal DNA was recovered from the immunoselected cells by the Hirt method, and electroporated into bacteria for amplification.

Bacteria transformed with plasmid from the second  
30 round Hirt preparation were diluted into small pools of about 100 colonies. The pools were amplified and their DNA purified and tested for the ability to confer expression of the L106 antigen on COS-7 cells by immunofluorescence. Phycoerythrin-conjugated L106 antibody was used to stain COS-7 cell  
35 monolayers and the cells were then examined by manual immunofluorescence microscopy. Miniprep DNA from four out of eight pools was positive when tested for expression. The pool with the best expression, pool E, was divided into smaller

pools of ~ 12 colonies. Three out of eight sub-pools were positive, and sub-pool E1 was plated to allow for the analysis of single colonies. Clone E1-27 was found to confer high level expression of ACT-4-h-1 receptor on the surface of transfected COS cells.

#### Example 5: cDNA Sequence Analysis

The insert from the clone designated E1-27 was subcloned into pBluescript and sequenced by the dideoxy chain termination method, using the T7 polymerase autoread sequencing kit (Pharmacia) on an ALF sequencer (Pharmacia). Restriction mapping revealed several convenient sites for subcloning. Five subclones were generated in pBluescript and were sequenced on both strands with M13 forward and universal primers.

The cDNA and deduced amino acid sequences of ACT-4-h-1 are shown in Fig. 5. The ACT-4-h-1 cDNA sequence of 1,137 base pairs contains a 14-bp 5' untranslated region and a 209-bp 3' untranslated region. An AATAAA polyadenylation signal is present at position 1,041 followed by an 80-bp poly A tail starting at position 1,057. The longest open reading frame begins with the first ATG at position 15 and ends with a TGA at position 846. The predicted amino acid sequence is that of a typical type 1 integral membrane protein. Hydrophobicity analysis reveals a putative signal sequence following the initiating ATG, with a short stretch of basic residues followed by a longer stretch of hydrophobic residues. A predicted signal peptide cleavage site is present at residue 22 or 24 (the latter being the more likely by the criteria of von Heijne, *Nucleic Acids Res.* 14, 4683-4690 (1986)) (incorporated by reference for all purposes), leaving a mature protein of 253 amino acid residues (or 255 amino acids, if cleavage occurs at the less probable site). Hydrophobicity analysis also reveals a single large stretch of 27 hydrophobic residues predicted to be the transmembrane domain, which predicts an extracellular domain of 189 (or 191) amino acids and an intracellular domain of 37 amino acids. The extracellular domain is cysteine rich, where 18 cysteines are

found within a stretch of 135 amino acids. The predicted molecular mass (Mr) for the mature protein is 27,400, and there are two potential N-glycosylation sites at amino acid residues 146 and 160.

5           Comparison of the amino acid sequence of ACT-4-h-1 with known sequences in the swiss-prot database using the BLAZE program reveals a sequence similarity with members of the nerve growth factor receptor superfamily. Amino acid sequences are at least 20% identical for NGF-R, TNF-R, CD40,  
10   41-BB, and fas/APO-1, and 62% for OX-40, allowing for gaps and deletions. Alignments of the various proteins reveal the conservation of multiple cysteine rich motifs. Three of these motifs are present in ACT-4-h-1 and OX-40, compared with four such motifs in NGF-R and CD40.

15           Comparison of the nucleotide sequence of ACT-4-h-1 with known sequences in the Genbank and EMBL databases using the programs BLAST and FASTDB revealed a high degree of sequence similarity with only one member of the nerve growth factor receptor family, OX-40. Allowing for gaps and  
20   insertions, the sequence identity is 66%. Comparison of the ACT-4-h-1 and OX-40 nucleotide sequences reveals that both contain a 14-bp 5' untranslated region, and both contain approximately 80-bp poly A tails. In ACT-4-h-1, however, there is a slight lengthening of the 3' untranslated region  
25   from 187-bp to 209-bp, and there is a lengthening of the coding region from 816-bp to 834-bp, a difference of 18-bp or 6 amino acid insertions. Aligning the two amino acid sequences reveals that four of the amino acid insertions occur prior to the signal sequence cleavage site. Thus, the mature  
30   ACT-4-h-1 receptor protein contains one more amino acid residue than OX-40 (i.e., 253 vs. 252 amino acids). Remarkably, the ACT-4-h-1 nucleotide sequence is much more GC rich, than the OX-40 sequence (70% v. 55%) indicating that the two sequences will not hybridize under stringent conditions.

Example 6: Production of Stable ACT-4-h-1 Transfectants

An XbaI-HindIII fragment was excised from the construct described in Example 4, and inserted into XbaI/HindIII-digested pcDNA-I-neo (Invitrogen) to generate an expression vector termed ACT-4-h-1-neo (Fig. 6). This vector was linearized with Sfi1 and electroporated into three eukaryotic cell lines. These cell lines were SP2/O (a mouse myeloma derived from the Balb/c strain), Jurkat (a transformed human T-cell line) and COS-7 (an adherent monkey cell line). After a 48-h recovery period, transformed cells were selected in 1 mg/ml G418 (Gibco). After three weeks of selection, neo-resistant cell lines were incubated with a saturating concentration of L106 antibody, washed and overlaid onto 100 mm petri dishes coated with goat anti-mouse IgG to select for cells expressing ACT-4-h-1. After washing off unbound cells, adherent cells were recovered and expanded in tissue culture. Cell lines were subject to two further rounds of panning and expression. The resulting cell lines were shown by direct immunofluorescence staining to express abundant STAN-4-h-1 (Fig. 7).

Example 7: Production of an ACT-4-h-1-Immunoglobulin Fusion Protein

A soluble fusion protein has been constructed in which the extracellular domain of ACT-4-h-1 is linked via its C-terminal to the N-terminal of the constant domain of a human immunoglobulin. The vector encoding ACT-4-h-1 described in Example 4 was cleaved with SmaI and NotI to excise all ACT-4-h-1 sequences downstream of the SmaI site including the transmembrane, cytoplasmic and 3' untranslated regions. The remaining region encodes the soluble extracellular portion of ACT-4-h-1 (Fig. 8). The source of the immunoglobulin constant region to be joined to the ACT-4-h-1 extracellular domain was a plasmid termed 5K-41BB-Eg1 (Proc. Natl. Acad. Sci. (USA) 89: 10360-10364) (incorporated by reference for all purposes). This plasmid contains a 1.3 kb BamHI/EagI genomic fragment encoding the hinge, CH2 and terminal CH3 domains of human Ig, isotype gamma 1. The fragment required modification for

insertion into the SmaI/NotI ends of the ACT-4-h-1 vector, while preserving the peptide reading frame across the SmaI junction to be formed by blunt-end ligation. The vector 5k-41BB-Eg1 was cut with BamHI and the resulting 5' extensions  
5 were filled with Klenow fragment. The vector was then cut with EagI releasing the 1.3 kb fragment with blunt and NotI compatible ends. This fragment was ligated with SmaI/NotI digested ACT-4-h-1 vector. The ligation mix was  
10 electroporated into E. coli and multiple transformant clones screened with PCR using ACT-4-h-1 and IgG1 nucleotide fragments as primers.

Plasmids containing the ACT-4-h-1-IgG1 coding were electroporated into COS cells. The cells were allowed to grow for five days at which point their supernatants were harvested  
15 and sterile filtered through a .2 micron membrane. The supernatants were tested for expression of ACT-4-h-1-IgG1 by dot blotting. Supernatants were blotted onto nitrocellulose and blocked with 5% nonfat dry milk. Replica blots were probed with antibody L106 or alkaline phosphatase-labelled  
20 goat anti-human immunoglobulin IgG (American Qualex). Antibody L106 was detected with an alkaline phosphatase labelled goat anti-mouse IgG. NBT/BCIP (Pierce) was used as a colorimetric substrate. High producing positive clones were sequenced at the junction site to confirm proper vector  
25 construction. The resulting fusion gene is depicted in Fig. 9.

For the purposes of clarity and understanding, the invention has been described in these examples and the above  
30 disclosure in some detail. It will be apparent, however, that certain changes and modifications may be practiced within the scope of the appended claims. All publications and patent applications are hereby incorporated by reference for all purposes to the same extent as if each were individually  
35 denoted as being incorporated by reference.

-53-

- For the purpose of this specification and the appended claims, where the context admits, an 'isolated' material means a material having any one or more of the following characteristics, that (a) it has been purified and/or (b) it is sufficiently free from contaminating
- 5 impurity to allow it to be used for at least one purpose falling within the scope of any one or more of the purposes mentioned herein, and/or (c) it is a material of natural origin, or a material of structure identical with a material of natural origin, which is
- 10 substantially free or has been freed from at least one of the major natural components which accompany it in its natural form or with which it is naturally associated or admixed, e.g. free or freed from all or substantially all of such natural accompanying components, and/or (d) it is in the form of a composition comprising a material of
- 15 of the nature of a chemically or biologically or pharmaceutically defined excipient, diluent, vehicle, or other material of character acceptable for at least one purpose falling within the scope of any one or more of the purposes mentioned herein.
- 20 The present disclosure of materials includes disclosure of such materials in isolated form, and the present disclosure includes the content of the foregoing description, drawings and appended claims and modifications and variations and combinations and subcombinations of the features mentioned herein as will be apparent to those skilled in
- 25 the art.

30

35

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

## (i) APPLICANT:

(A) NAME: The Board of Trustees of The Leland Stanford  
Junior University

(B) STREET: 900 Welch Road, Suite 350

(C) CITY: Palo Alto

(D) STATE: California

(E) COUNTRY: US

(F) POSTAL CODE (ZIP): 94304-1850

(A) NAME: Becton Dickinson & Company

(B) STREET: One Becton Drive

(C) CITY: Franklin Lakes

(D) STATE: New Jersey

(E) COUNTRY: US

(F) POSTAL CODE (ZIP): 07417-1880

(A) NAME: Godfrey, Wayne

(B) STREET: 18000 Skyline Boulevard

(C) CITY: Woodside

(D) STATE: California

(E) COUNTRY: US

(F) POSTAL CODE (ZIP): 94062

(A) NAME: Buck, David

(B) STREET: 1 Johnston Pier, Box 37

(C) CITY: Half Moon Bay

(D) STATE: California

(E) COUNTRY: US

(F) POSTAL CODE (ZIP): 94019

(A) NAME: Engleman, Edgar G.

(B) STREET: 60 Lane Place

(C) CITY: Atherton

(D) STATE: California

(E) COUNTRY: US

(F) POSTAL CODE (ZIP): 94027

(ii) TITLE OF INVENTION: RECEPTOR ON THE SURFACE OF ACTIVATED  
CD4+ T-CELLS: ACT-4



55

(iii) NUMBER OF SEQUENCES: 2

(iv) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk, 3.5 inch
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn

(vi) PRIOR APPLICATION DATA:

- (A) APPLICATION NUMBER: US 08/147,784
- (B) FILING DATE: 03-NOV-1993

(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 1057 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: NO

(ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION: 15..845
- (D) OTHER INFORMATION: /standard\_name= "ACT-4 cDNA"

(ix) FEATURE:

- (A) NAME/KEY: misc\_signal
- (B) LOCATION: 15..86

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

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Met Cys Val Gly Ala Arg Arg Leu Gly Arg Gly Pro	
1 5 10	
TGT GCG GCT CTG CTC CTC CTG GGC CTG GGG CTG AGC ACC GTG ACC GGG	98
Cys Ala Ala Leu Leu Leu Leu Gly Leu Gly Leu Ser Thr Val Thr Gly	
15 20 25	

56

CTC CAC TGT GTC GGG GAC ACC TAC CCC AGC AAC GAC CGG TGC TGC CAC	146
Leu His Cys Val Gly Asp Thr Tyr Pro Ser Asn Asp Arg Cys Cys His	
30 35 40	
GAG TGC AGG CCA GGC AAC GGG ATG GTG AGC CGC TGC AGC CGC TCC CAG	194
Glu Cys Arg Pro Gly Asn Gly Met Val Ser Arg Cys Ser Arg Ser Gln	
45 50 55 60	
AAC ACG GTG TGC CGT CCG TGC GGG CCG GGC TTC TAC AAC GAC GTG GTC	242
Asn Thr Val Cys Arg Pro Cys Gly Pro Gly Phe Tyr Asn Asp Val Val	
65 70 75	
AGC TCC AAG CCG TGC AAG CCC TGC ACG TGG TGT AAC CTC AGA AGT GGG	290
Ser Ser Lys Pro Cys Lys Pro Cys Thr Trp Cys Asn Leu Arg Ser Gly	
80 85 90	
AGT GAG CGG AAG CAG CTG TGC ACG GCC ACA CAG GAC ACA GTC TGC CGC	338
Ser Glu Arg Lys Gln Leu Cys Thr Ala Thr Gln Asp Thr Val Cys Arg	
95 100 105	
TGC CGG GCG GGC ACC CAG CCC CTG GAC AGC TAC AAG CCT GGA GTT GAC	386
Cys Arg Ala Gly Thr Gln Pro Leu Asp Ser Tyr Lys Pro Gly Val Asp	
110 115 120	
TGT GCC CCC TGC CCT CCA GGG CAC TTC TCC CCA GGC GAC AAC CAG GCC	434
Cys Ala Pro Cys Pro Pro Gly His Phe Ser Pro Gly Asp Asn Gln Ala	
125 130 135 140	
TGC AAG CCC TGG ACC AAC TGC ACC TTG GCT GGG AAG CAC ACC CTG CAG	482
Cys Lys Pro Trp Thr Asn Cys Thr Leu Ala Gly Lys His Thr Leu Gln	
145 150 155	
CCG GCC AGC AAT AGC TCG GAC GCA ATC TGT GAG GAC AGG GAC CCC CCA	530
Pro Ala Ser Asn Ser Ser Asp Ala Ile Cys Glu Asp Arg Asp Pro Pro	
160 165 170	
GCC ACG CAG CCC CAG GAG ACC CAG GGC CCC CCG GCC AGG CCC ATC ACT	578
Ala Thr Gln Pro Gln Glu Thr Gln Gly Pro Pro Ala Arg Pro Ile Thr	
175 180 185	
GTC CAG CCC ACT GAA GCC TGG CCC AGA ACC TCA CAG GGA CCC TCC ACC	626
Val Gln Pro Thr Glu Ala Trp Pro Arg Thr Ser Gln Gly Pro Ser Thr	
190 195 200	

57

CGG CCC GTG GAG GTC CCC GGG GGC CGT GCG GTT GCC GCC ATC CTG GGC 674  
Arg Pro Val Glu Val Pro Gly Gly Arg Ala Val Ala Ala Ile Leu Gly  
205 210 215 220

CTG GGC CTG GTG CTG GGG CTG CTG GGC CCC CTG GCC ATC CTG CTG GCC 722  
Leu Gly Leu Val Leu Gly Leu Leu Gly Pro Leu Ala Ile Leu Leu Ala  
225 230 235

CTG TAC CTG CTC CGG AGG GAC CAG AGG CTG CCC CCC GAT GCC CAC AAG 770  
Leu Tyr Leu Leu Arg Arg Asp Gln Arg Leu Pro Pro Asp Ala His Lys  
240 245 250

CCC CCT GGG GGA GGC AGT TTC CGG ACC CCC ATC CAA GAG GAG CAG GCC 818  
Pro Pro Gly Gly Gly Ser Phe Arg Thr Pro Ile Gln Glu Glu Gln Ala  
255 260 265

GAC GCC CAC TCC ACC CTG GCC AAG ATC TGACCTGGGC CCACCAAGGT 865  
Asp Ala His Ser Thr Leu Ala Lys Ile  
270 275

GGACGCTGGG CCCC GCCAGG CTGGAGCCCG GAGGGTCTGC TGGGCGAGCA GGGCAGGTGC 925

AGGCCGCCTG CCCC GCCACG CTCCTGGGCC AACTCTGCAC CGTTCTAGGT GCCGATGGCT 985

GCCTCOGGCT CTCTGCTTAC GTATGCCATG CATACCTCCT GCCCCGCGGG ACCACAATAA 1045

AAACCTTGGC AG 1057

(2) INFORMATION FOR SEQ ID NO:2:

**(i) SEQUENCE CHARACTERISTICS:**

(A) LENGTH: 277 amino acids

(B) TYPE: amino acid

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Cys Val Gly Ala Arg Arg Leu Gly Arg Gly Pro Cys Ala Ala Leu  
1 5 10 15

Leu Leu Leu Gly Leu Gly Leu Ser Thr Val Thr Gly Leu His Cys Val  
20 25 30

58

Gly Asp Thr Tyr Pro Ser Asn Asp Arg Cys Cys His Glu Cys Arg Pro  
 35 40 45

Gly Asn Gly Met Val Ser Arg Cys Ser Arg Ser Gln Asn Thr Val Cys  
 50 55 60

Arg Pro Cys Gly Pro Gly Phe Tyr Asn Asp Val Val Ser Ser Lys Pro  
 65 70 75 80

Cys Lys Pro Cys Thr Trp Cys Asn Leu Arg Ser Gly Ser Glu Arg Lys  
 85 90 95

Gln Leu Cys Thr Ala Thr Gln Asp Thr Val Cys Arg Cys Arg Ala Gly  
 100 105 110

Thr Gln Pro Leu Asp Ser Tyr Lys Pro Gly Val Asp Cys Ala Pro Cys  
 115 120 125

Pro Pro Gly His Phe Ser Pro Gly Asp Asn Gln Ala Cys Lys Pro Trp  
 130 135 140

Thr Asn Cys Thr Leu Ala Gly Lys His Thr Leu Gln Pro Ala Ser Asn  
 145 150 155 160

Ser Ser Asp Ala Ile Cys Glu Asp Arg Asp Pro Pro Ala Thr Gln Pro  
 165 170 175

Gln Glu Thr Gln Gly Pro Pro Ala Arg Pro Ile Thr Val Gln Pro Thr  
 180 185 190

Glu Ala Trp Pro Arg Thr Ser Gln Gly Pro Ser Thr Arg Pro Val Glu  
 195 200 205

Val Pro Gly Gly Arg Ala Val Ala Ala Ile Leu Gly Leu Gly Leu Val  
 210 215 220

Leu Gly Leu Leu Gly Pro Leu Ala Ile Leu Leu Ala Leu Tyr Leu Leu  
 225 230 235 240

Arg Arg Asp Gln Arg Leu Pro Pro Asp Ala His Lys Pro Pro Gly Gly  
 245 250 255

Gly Ser Phe Arg Thr Pro Ile Gln Glu Glu Gln Ala Asp Ala His Ser  
 260 265 270

59

Thr Leu Ala Lys Ile  
275

-60-

## CLAIMS:

1. An isolated, e.g. purified, polypeptide which comprises (a) an  
5 ACT-4 receptor or extracellular domain having at least five contiguous  
amino acids from an amino acid sequence shown in Fig. 5 (SEQ ID NO:2),  
and/or an antigenic determinant in common with a protein comprising  
the amino acid sequence shown in Fig. 5; or (b) an epitope  
specifically bindable by antibody L106.  
10
2. A polypeptide according to claim 1, having one or more of the  
following characteristics, that it comprises or consists essentially  
of
  - (a) a polypeptide which exhibits at least eighty percent sequence  
15 identity to the amino acid sequence of Fig. 5; e.g. a polypeptide  
having the amino acid sequence of Fig. 5;
  - (b) a domain selected from a group of domains consisting of a  
signal sequence, an intracellular domain, a transmembrane domain,  
20 and an extracellular domain;
  - (c) an extracellular domain; e.g. an extracellular domain which has  
an intrachain loop formed by disulfide bonding of two cysteine  
residues, e.g. three intrachain loops, each formed by disulfide  
25 bonding of two cysteine residues;
  - (d) an extracellular domain of a polypeptide which exhibits at  
least eighty percent sequence identity to the amino acid sequence  
of Fig. 5;  
30
  - (e) a full-length polypeptide having an antigenic determinant in  
common with a protein comprising the amino acid sequence shown in  
Fig. 5;
  - 35 (f) a naturally occurring polypeptide, e.g. a purified ACT-4  
receptor polypeptide;
  - (g) a naturally occurring polypeptide of human origin;

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- (h) a naturally occurring polypeptide of a kind present on the surface of activated CD4+ T- cells, and substantially absent on activated CD8+ T cells and resting T-cells;
- 5 (i) a polypeptide having a molecular weight of about 50 kDa before deglycosylation and about 27 kDa thereafter;
- (j) a polypeptide which is soluble;
- 10 (k) a polypeptide which is capable of specifically binding to an ACT-4 ligand, e.g. a polypeptide comprising or consisting essentially of an epitope specifically bound by antibody L106;
- 15 (l) an immunogenic polypeptide; e.g. a polypeptide comprising an immunogenic extracellular domain that can compete with an ACT-4-h-1 receptor polypeptide for specific binding to an antibody; and/or
- (m) a polypeptide which is fused to a second polypeptide, e.g. to a constant region of an immunoglobulin heavy chain.
- 20
3. Use of a polypeptide according to claim 1 which is an ACT-4-h-1 receptor polypeptide in a process selected from:
- 25 (a) screening an antibody for specific binding to the same epitope as that bound by an L106 antibody, comprising the steps of: providing a solution comprising an antibody to be screened, said L106 antibody, and an ACT-4-h-1 receptor polypeptide, said polypeptide specifically binding to said L106 antibody; and
- 30 measuring specific binding between said polypeptide and said L106 antibody, or between said polypeptide and said antibody to be screened, to indicate whether said antibody to be screened reacts with the same epitope as said L106 antibody;
- 35 (b) localizing an epitope specifically bound by an L106 antibody, comprising the steps of: providing a family of ACT-4-h-1 receptor polypeptides, each member of said family comprising a

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contiguous segment of at least four amino acids; and measuring specific binding between a polypeptide from said family and said L106 antibody to indicate the presence of said epitope within said polypeptide;

5

(c) screening for immunosuppressive agents, comprising the steps of: contacting an ACT-4-h-1 receptor polypeptide (e.g. immobilized to a solid surface) with a potential immunosuppressive agent; and detecting specific binding between said ACT-4-h-1 receptor  
10 polypeptide and said agent, said specific binding indicative of immunosuppressive activity;

(d) screening for an ACT-4 ligand, comprising the steps of: contacting a biological sample containing said ACT-4 ligand with an  
15 ACT-4-h-1 receptor polypeptide; isolating a complex formed between said ligand and said ACT-4-h-1 receptor polypeptide; and dissociating said complex to obtain said ligand;

(e) testing a sample for the purpose of detecting a specific  
20 binding partner of ACT-4-h-1 receptor polypeptide, which process comprises contacting a sample to be tested (e.g. a tissue sample from a patient, e.g. a sample comprising T-cells) with a reagent selected from:

(i) directly or indirectly labelled ACT-4-h-1 receptor  
25 polypeptide or a directly or indirectly labelled substance having common binding specificity therewith; or  
(ii) ACT-4-h-1 receptor polypeptide or a substance having common binding specificity therewith, and a directly or indirectly labelled antibody specific for ACT-4-h-1 receptor  
30 polypeptide.

and detecting specific binding between the specific binding partner and the ACT-4-h-1 receptor polypeptide or a substance having common binding specificity therewith, if present in the sample to be tested (e.g. if carried by cells in a tissue sample to be tested),  
35 thereby to reveal the presence of such substance in the sample (e.g. to reveal the presence of cells in the sample, e.g. T-cells, which carry a specific binding partner of ACT-4-h-1 receptor



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polypeptide).

4. An ACT-4 ligand capable of specific binding to a polypeptide  
5 according to claim 1 which is an ACT-4-h-1 receptor polypeptide.

5. An ACT-4 ligand according to claim 4, also having one or more of  
the following characteristics, that it comprises or consists  
10 essentially of

(a) an antibody or fragment thereof that can specifically bind to  
an ACT-4-h-1 receptor polypeptide;

15 (b) a monoclonal antibody; e.g. antibody L106; or a fragment  
thereof that can specifically bind to an ACT-4-h-1 receptor  
polypeptide;

(c) a monoclonal antibody that can inhibit activation of CD4+  
20 T-cells;

(d) a monoclonal antibody that can stimulate activation of CD4+  
T-cells;

25 (e) a monoclonal antibody that can compete with antibody L106 for  
specific binding to an ACT-4-h-1 receptor polypeptide;

(f) a antibody that can compete with antibody L106 for specific  
binding to activated CD4+ T-cells;

30 (g) monoclonal antibody that specifically binds to a different  
epitope on an ACT-4-h-1 receptor polypeptide than that specifically  
bound by L106 antibody;

35 (h) antibody (e.g. antibody L106) which is fused to a toxin  
polypeptide;

-64-

(i) an antibody that is fused to a coat protein of a filamentous phage;

5 (j) a humanized antibody comprising a humanized heavy chain and a humanized light chain and capable of specific binding to a polypeptide according to claim 1 which is an ACT-4-h-1 receptor polypeptide; e.g. a humanized antibody wherein

10 (i) the humanized light chain comprises three complementarity determining regions (CDR1, CDR2 and CDR3) having amino acid sequences from the corresponding complementarity determining regions of a L106 antibody light chain, and has a variable region framework sequence substantially identical to a human light chain variable region framework sequence; and

15 (ii) the humanized heavy chain comprises three complementarity determining regions (CDR1, CDR2 and CDR3) having amino acid sequences from the corresponding complementarity determining regions of a L106 antibody heavy chain, and has a variable region framework sequence substantially identical to a human heavy chain variable region framework sequence;

20 (iii) the humanized antibody specifically binds to an ACT-4-h-1 receptor polypeptide with a binding affinity that is within three-fold of the binding affinity of L106 antibody;

25 (k) an antibody or fragment or fusion analogue thereof in the form of a pharmaceutical composition further comprising a pharmaceutically acceptable vehicle or excipient.

30 6. Use of an ACT-4 ligand according to claim 4 in a process of testing a sample for the purpose of detecting an ACT-4-h-1 receptor polypeptide or a substance having common binding specificity therewith, which comprises contacting a sample to be tested (e.g. a tissue sample from a patient, e.g. comprising T-cells), with a reagent  
35 selected from:

(a) directly or indirectly labelled said ligand e.g. antibody

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specific for ACT-4-h-1 receptor polypeptide; and  
(b) said ligand e.g. antibody specific for ACT-4-h-1 receptor  
polypeptide and a directly or indirectly labelled substance  
having common binding specificity with ACT-4-h-1 receptor  
polypeptide;

5 and detecting specific binding between the antibody and the ACT-4-h-1  
receptor polypeptide or a substance having common binding specificity  
therewith, if present in the sample to be tested (e.g. if carried by  
cells in the sample to be tested), thereby to reveal the presence of  
10 such substance in the sample (e.g. to reveal the presence of cells  
such as T-cells in the sample which carry ACT-4-h-1 receptor  
polypeptide or a substance having common binding specificity  
therewith).

15  
7. A process according to claim 6, wherein said ligand is an antibody  
that specifically binds to an ACT-4-h-1 receptor polypeptide, e.g. a  
monoclonal antibody, and said method is a method of detecting  
activated CD4+ T-cells, said method comprising: contacting a tissue  
20 sample from a patient with an antibody that specifically binds to an  
ACT-4-h-1 receptor polypeptide and detecting specific binding between  
said monoclonal antibody and said tissue sample to reveal the presence  
of said activated CD4+ T-cells, e.g. in conditions wherein the  
presence of activated T-cells revealed by said specific binding is  
25 diagnostic of a disease or condition of the immune system.

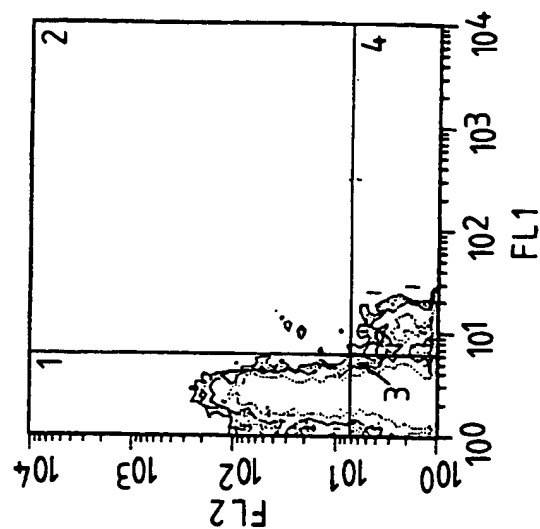
8. Use of an ACT-4 ligand according to claim 4, which is a monoclonal  
antibody, in a method of altering an immune response in a patient,  
30 which comprises either

(a) suppressing an immune response in a patient suffering from an  
immune disease or condition, said method comprising administering  
to a patient a therapeutically effective dose of a pharmaceutical  
composition comprising a pharmaceutically active carrier and a  
35 monoclonal antibody which is an ACT-4 ligand according to claim 4  
and which inhibits activation of CD4+ T-cells; or

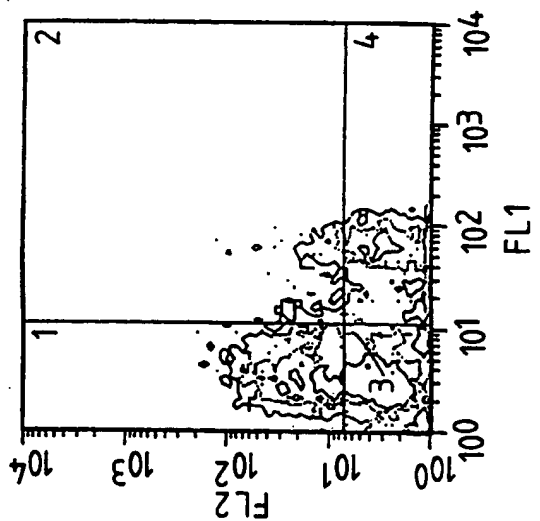
-66-

- (b) inducing an immune response to a selected antigen, said method comprising: administering a monoclonal antibody which is an ACT-4 ligand according to claim 4 and which stimulates activation of CD4+ T-cells to a patient; and exposing said patient to said selected antigen.
- 5
9. A hybridoma that can produce antibody L106, said hybridoma being of the cell line deposited as ATCC HB 11483 at the American Type Culture Collection, Rockville, Maryland, USA, on 3 November 1993.
- 10
10. A nucleic acid fragment encoding (a) a heavy chain of antibody L106, or (b) a light chain of antibody L106.
- 15
11. A nucleic acid fragment encoding a polypeptide according to claim 1, e.g. having one or more of the further characteristics that it is
- a nucleic acid fragment encoding an ACT-4 polypeptide; and/or
- 20
- a nucleic acid fragment that exhibits at least eighty percent sequence identity with the nucleic acid sequence shown in Fig. 5 (SEQ ID NO:1); and/or
- 25
- a nucleic acid fragment that encodes a full-length ACT-4 polypeptide; and/or
- a nucleic acid fragment comprising the translated region of the nucleic acid sequence shown in Fig. 5 (SEQ ID NO:1).
- 30
12. An isolated cell line, e.g. a stable cell line, which contains a nucleic acid fragment encoding a polypeptide according to claim 1; for example a cell line wherein said nucleic acid fragment is incorporated in the genome of said cell line; e.g. cell line COS-7; and/or an isolated cell line wherein ACT-4 receptor polypeptide is expressed on the surface of said cell.
- 35

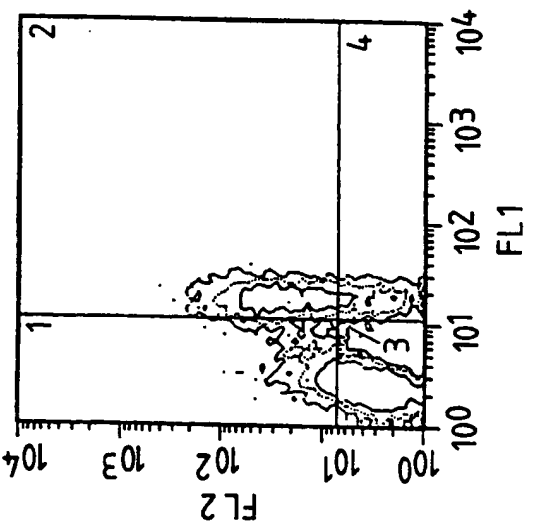
1 / 10



CD19



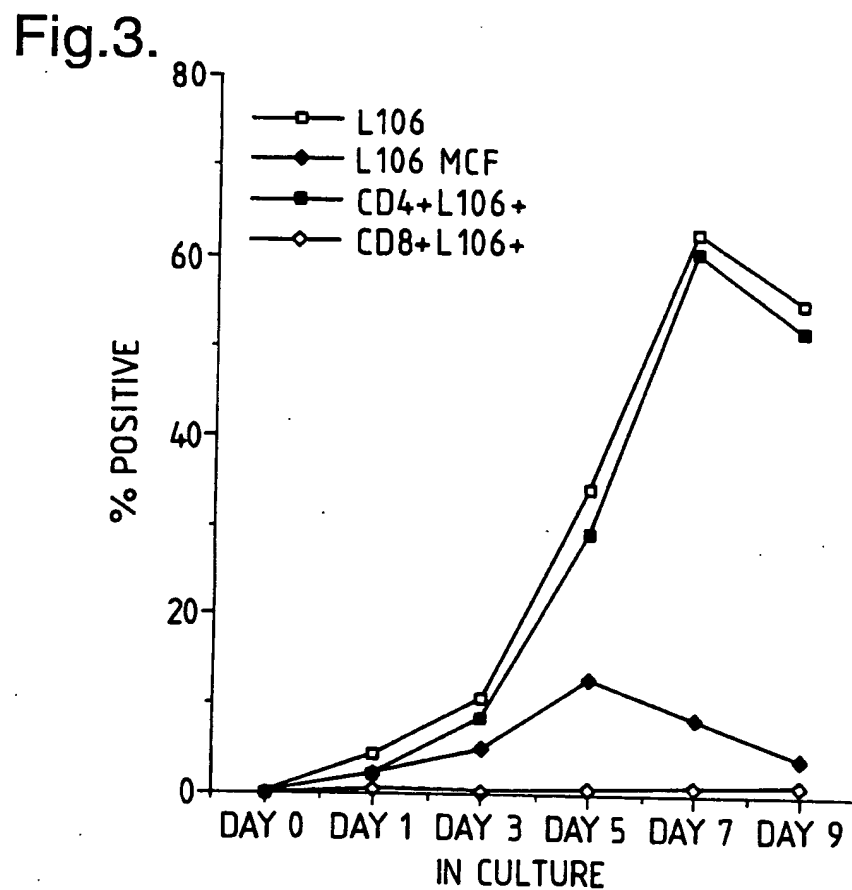
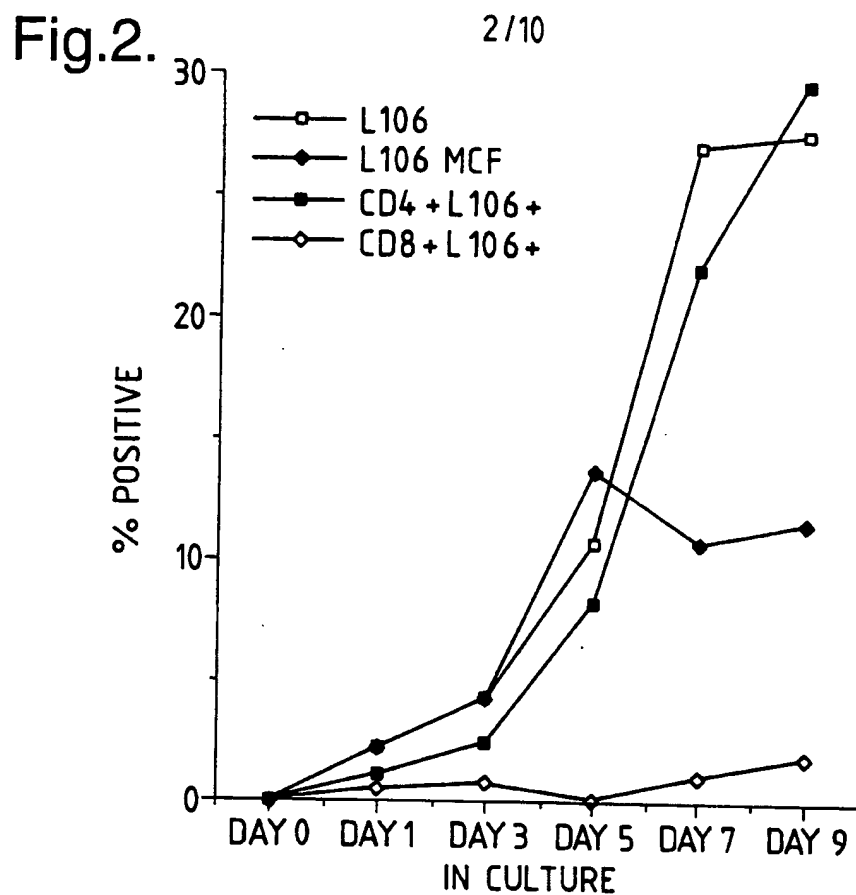
CD8



CD4

L106 PE

Fig.1.



3/10

Fig.4.

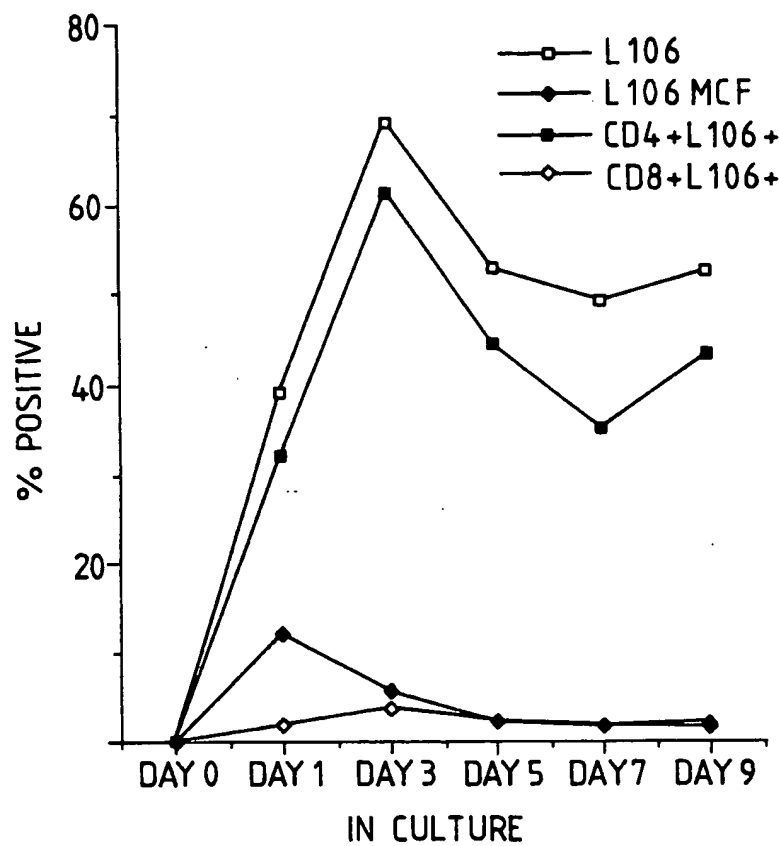
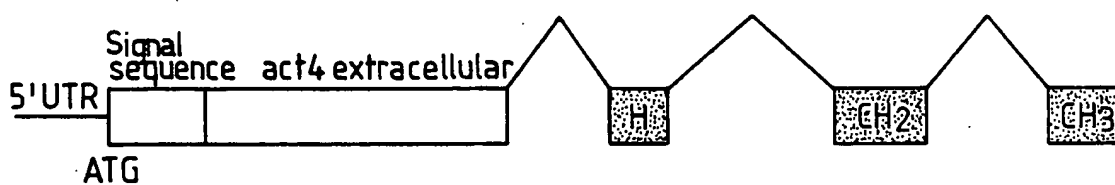


Fig.9.



**Fig.5.**

**SUBSTITUTE SHEET (RULE 26)**



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**Fig.5(Cont).**

																		648	
GCC	TGG	CCC	AGA	ACC	TCA	CAG	GGA	CCC	TCC	ACC	CGG	CCC	GTG	GAG	GTC	CCC	GGG		
A	W	P	R	T	S	Q	G	P	S	T	R	P	V	E	V	P	G		
																		675	
GGC	CGT	GCG	GTT	GCC	GCC	ATC	CTG	GGC	CTG	GGC	CTG	GTG	CTG	GGG	CTG	CTG	GGC	702	
G	R	A	V	A	A	I	L	G	L	G	L	V	L	G	L	L	G		
																		729	
CCC	CTG	GCC	ATC	CTG	CTG	GCC	CTG	TAC	CTG	CTC	CGG	AGG	GAC	CAG	AGG	CTG	CCC	756	
P	L	A	I	L	L	A	L	Y	L	L	R	R	D	Q	R	L	P		
																		783	
CCC	GAT	GCC	CAC	AAG	CCC	CCT	GGG	GGA	GGC	AGT	TTC	CGG	ACC	CCC	ATC	CAA	GAG	810	
P	D	A	H	K	P	P	G	G	G	S	F	R	T	P	I	Q	E		
																		837	
GAG	CAG	GCC	GAC	GCC	CAC	TCC	ACC	CTG	GCC	AAG	ATC	TGA	CCT	GGG	CCC	ACC	AAG	864	
E	Q	A	D	A	H	S	T	L	A	K	I	*							
																		891	
GTG	GAC	GCT	GGG	CCC	CGC	CAG	GCT	GGA	GCC	CGG	AGG	GTC	TGC	TGG	GCG	AGC	AGG	918	
																		945	
GCA	GGT	GCA	GGC	CGC	CTG	CCC	CGC	CAC	GCT	CCT	GGG	CCA	ACT	CTG	CAC	CGT	TCT	972	
																		999	
AGG	TGC	CGA	TGG	CTG	CCT	CCG	GCT	CTC	TGC	TTA	CGT	ATG	CCA	TGC	ATA	CCT	CCT	1026	
																		1053	
GCC	CCG	CGG	GAC	CAC	<u>AAT AAA</u>	AAC	CTT	GGC	AG										
										Poly-A									

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## Fig.5. PART 1

27 54  
 CA GCA GAG ACG AGG ATG TGC GTG GGG GCT CGG CGG CTG GGC CGC GGG CCG TGT  
 Met Cys Val Gly Ala Arg Arg Leu Gly Arg Gly Pro Cys  
 1 5 10  
 signal sequence  
 81 108  
 GCG GCT CTG CTC CTC CTG GGC CTG GGG CTG AGC ACC GTG ACG GGG CTC CAC TGT  
 Ala Ala Leu Leu Leu Leu Gly Leu Gly Leu Ser Thr Val Thr Gly Leu His Cys  
 15 20 25 30  
 cleavage  
 135 147 162  
 GTC GGG GAC ACC TAC CCC AGC AAC GAC CGG TGC TGC CAC GAG TGC AGG CCA GGC  
 Val Gly Asp Thr Tyr Pro Ser Asn Asp Arg Cys Cys His Glu Cys Arg Pro Gly  
 35 40 45  
 189 216  
 AAC GGG ATG GTG AGC CGC TGC AGC CGC TCC CAG AAC ACG GTG TGC CGT CCG TGC  
 Asn Gly Met Val Ser Arg Cys Ser Arg Ser Gln Asn Thr Val Cys Arg Pro Cys  
 50 55 60 65  
 243 270  
 GGG CCG GGC TTC TAC AAC GAC GTG GTC AGC TCC AAG CCG TGC AAG CCC TGC ACG  
 Gly Pro Gly Phe Tyr Asn Asp Val Val Ser Ser Lys Pro Cys Lys Pro Cys Thr  
 70 75 80 85  
 297 324  
 TGG TGT AAC CTC AGA AGT GGG AGT GAG CGG AAG CAG CTG TGC ACG GCC ACA CAG  
 Trp Cys Asn Leu Arg Ser Gly Ser Glu Arg Lys Gln Leu Cys Thr Ala Thr Gln  
 90 95 100  
 351 378  
 GAC ACA GTC TGC CGC TGC CGG GCG GGC ACC CAG CCC CTG GAC AGC TAC AAG CCT  
 Asp Thr Val Cys Arg Cys Arg Ala Gly Thr Gln Pro Leu Asp Ser Tyr Lys Pro  
 105 110 115 120  
 405 432  
 GGA GTT GAC TGT GCC CCC TGC CCT CCA GGG CAC TTC TCC CCA GGC GAC AAC CAG  
 Gly Val Asp Cys Ala Pro Cys Pro Pro Gly His Phe Ser Pro Gly Asp Asn Gln  
 125 130 135  
 459 486  
 GCC TGC AAG CCC TGG ACC AAC TGC ACC TTG GCT GGG AAG CAC ACC CTG CAG CCG  
 Ala Cys Lys Pro Trp Thr Asn Cys Thr Leu Ala Gly Lys His Thr Leu Gln Pro  
 140 145 gly 150 155  
 513 540  
 GCC AGC AAT AGC TCG GAC GCA ATC TGT GAG GAC AGG GAC CCC CCA GCC ACG CAG  
 Ala Ser Asn Ser Ser Asp Ala Ile Cys Glu Asp Arg Asp Pro Pro Ala Thr Gln  
 160 gly 165 170 175  
 567 594  
 CCC CAG GAG ACC CAG GGC CCC CCG GCC AGG CCC ATC ACT GTC CAG CCC ACT GAA  
 Pro Gln Glu Thr Gln Gly Pro Pro Ala Arg Pro Ile Thr Val Gln Pro Thr Glu  
 180 185 190

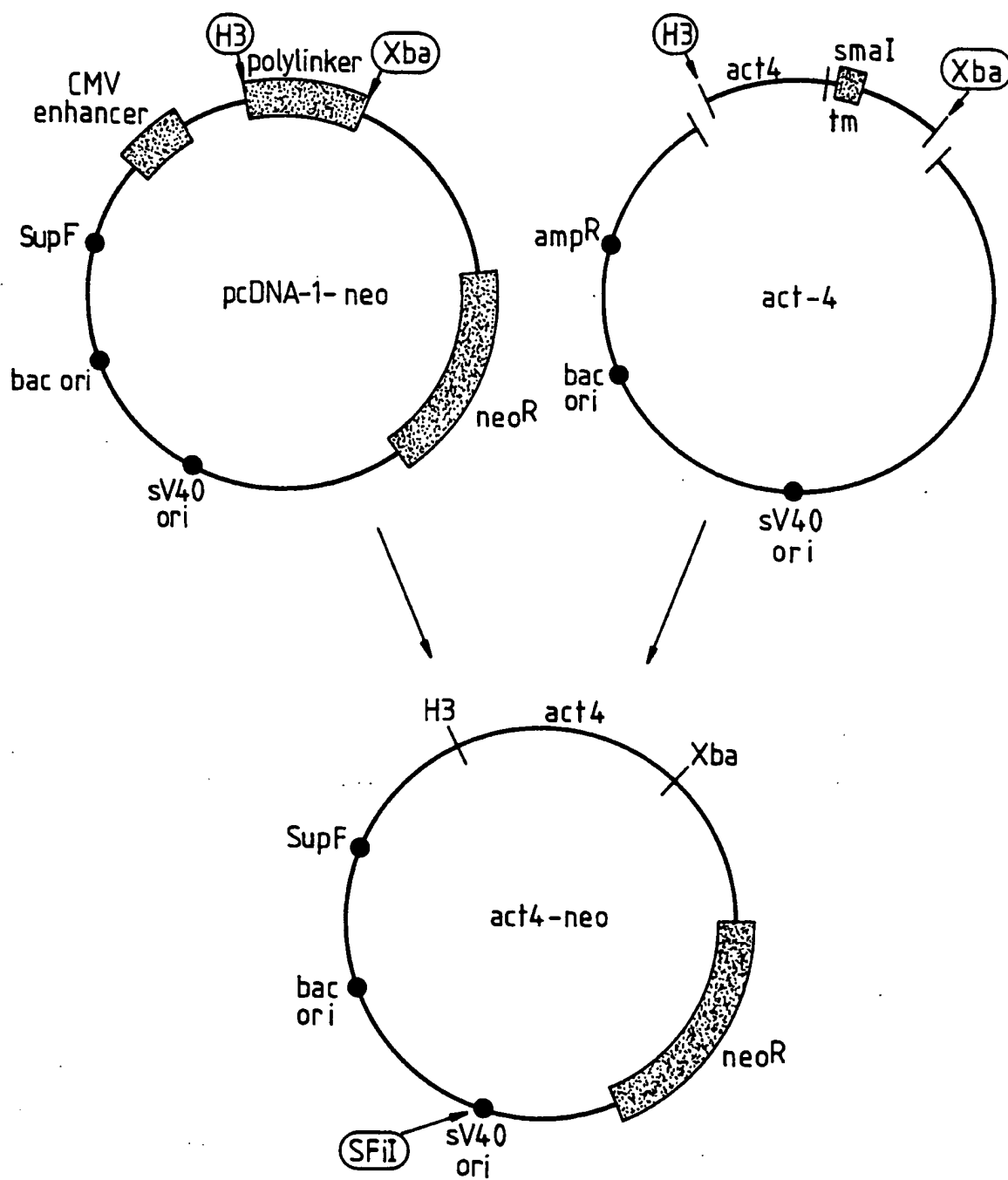
7/10

## Fig.5. PART 2

															621																648				
GCC	TGG	CCC	AGA	ACC	TCA	CAG	GGA	CCC	TCC	ACC	CGG	CCC	GTG	GAG	GTC	CCC	GGG																		
Ala	Trp	Pro	Arg	Thr	Ser	Gln	Gly	Pro	Ser	Thr	Arg	Pro	Val	Glu	Val	Pro	Gly																		
		195					200					205					210																		
															675																702				
GGC	CGT	GCG	GTT	GCC	GCC	ATC	CTG	GGC	CTG	GGC	CTG	GTG	CTG	GGG	CTG	CTG	GGC																		
Gly	Arg	Ala	Val	Ala	Ala	Ile	Leu	Gly	Leu	Gly	Leu	Val	Leu	Gly	Leu	Leu	Gly																		
				215					220					225			TM																		
															729																756				
CCC	CTG	GCC	ATC	CTG	CTG	GCC	CTG	TAC	CTG	CTC	CGG	AGG	GAC	CAG	AGG	CTG	CCC																		
Pro	Leu	Ala	Ile	Leu	Leu	Ala	Leu	Tyr	Leu	Leu	Arg	Arg	Asp	Gln	Arg	Leu	Pro																		
		230					235			240							245																		
															783																810				
CCC	GAT	GCC	CAC	AAG	CCC	CCT	GGG	GGA	GGC	AGT	TTC	CGG	ACC	CCC	ATC	CAA	GAG																		
Pro	Asp	Ala	His	Lys	Pro	Pro	Gly	Gly	Gly	Ser	Phe	Arg	Thr	Pro	Ile	Gln	Glu																		
				250					255					260			265																		
															837																864				
GAG	CAG	GCC	GAC	GCC	CAC	TCC	ACC	CTG	GCC	AAG	ATC	TGA	CCT	GGG	CCC	ACC	AAG																		
Glu	Gln	Ala	Asp	Ala	His	Ser	Thr	Leu	Ala	Lys	Ile	*																							
				270					275									stop																	
															891																918				
GTG	GAC	GCT	GGG	CCC	CGC	CAG	GCT	GGA	GCC	CGG	AGG	GTC	TGC	TGG	GCG	AGC	AGG																		
															945																972				
GCA	GGT	GCA	GGC	CGC	CTG	CCC	CGC	CAC	GCT	CCT	GGG	CCA	ACT	CTG	CAC	CGT	TCT																		
															999																1026				
AGG	TGC	CGA	TGG	CTG	CCT	CCG	GCT	CTC	TGC	TTA	CGT	ATG	CCA	TGC	ATA	CCT	CCT																		
															1053	1058																			
GCC	CCG	CGG	GAC	CAC	AAT	AAA	AAC	CTT	GGC	AG																									
																		Poly-A																	

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Fig.6.

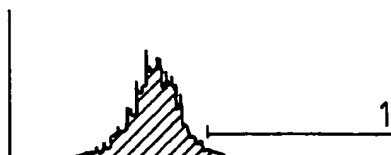


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Fig.7.

Expression of ACT-4-h-1  
in Stable Cell Lines

(cos-7) act4



irrelevant PE



L106PE

(Jurkat) act4



irrelevant PE



C106PE

(SP2/0) act4



irrelevant PE

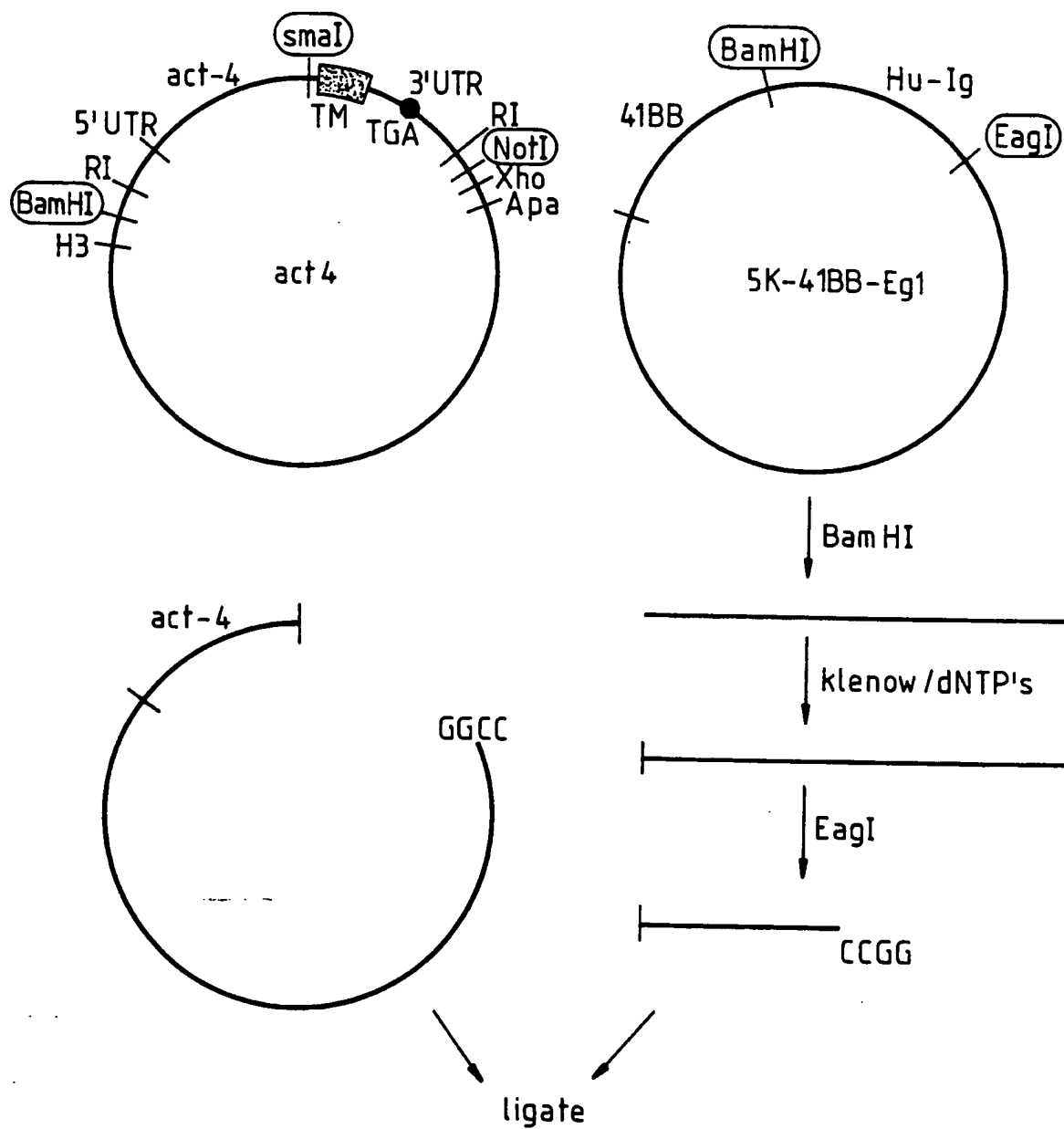


L106PE

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Fig.8.

ACT-4-Ig



## INTERNATIONAL SEARCH REPORT

Internat. Application No

PCT/GB 94/02415

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/13 C07K14/725 C07K16/28 G01N33/577 G01N33/68  
 A61K39/395 C12N5/20 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N C07K G01N A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>TISSUE ANTIGENS,  vol.42, no.4, October 1993, COPENHAGEN,  DENMARK  page 253  W. GODFREY ET AL. 'Molecular cloning of a  cDNA encoding the human homolog of the rat  OX-40 antigen.'  see abstract AA057</p> <p style="text-align: center;">--- -/--</p>	1,2,4-7, 9-12

☒ Further documents are listed in the continuation of box C.☐ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance  
"E" earlier document but published on or after the international filing date  
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
"O" document referring to an oral disclosure, use, exhibition or other means  
"P" document published prior to the international filing date but later than the priority date claimed

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

8 February 1995

Date of mailing of the international search report

16.02.95

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Fax: (+31-70) 340-3016

Authorized officer

Nooij, F

## INTERNATIONAL SEARCH REPORT

Internat'l Application No  
PCT/GB 94/02415

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989 , OXFORD UNIVERSITY PRESS , OXFORD, GB cited in the application see S. HAMILTON-DUTOIT et al.: A8.3 'An immunohistological analysis of the mAb in the activation antigen panel', page 475 - page 476 ---	1-7,9
Y	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989 , OXFORD UNIVERSITY PRESS , OXFORD, GB cited in the application see J. WAUGH et al.: A8.8 'Staining of normal or rejecting kidney using the activation panel', page 485 - page 486 ---	1-7,9
Y	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989 , OXFORD UNIVERSITY PRESS , OXFORD, GB cited in the application see N. DUNLAP et al.: A8.9 'Expression of activation antigens on HTLV-I and HTLV-II cell lines', page 487 - page 488 ---	1-7,9
Y	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989 , OXFORD UNIVERSITY PRESS , OXFORD, GB cited in the application see R. VILELLA et al.: A8.14 'Sequential appearance of the activation antigens', page 495 - page 498 ---	1-7,9
Y	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989 , OXFORD UNIVERSITY PRESS , OXFORD, GB cited in the application see G. AVERSA et al.: A8.15 'Activation panel antigen expression on PBL activated by PHA or in MLR', page 498 - page 501 ---	1-7,9
	--- -/--	



## INTERNATIONAL SEARCH REPORT

Internr : Application No

PCT/GB 94/02415

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989, OXFORD UNIVERSITY PRESS, OXFORD, GB cited in the application see P. KING et al.: A8.17 'Tonsillar dendritic-cell-induced T-lymphocyte proliferation: analysis of molecular mechanisms using the activation panel of mAb', page 503 - page 505 ---	1-7,9
A	'LEUCOCYTE TYPING IV. WHITE CELL DIFFERENTIATION ANTIGENS (Eds. W. Knapp et al.)' 1989, OXFORD UNIVERSITY PRESS, OXFORD, GB see O. RENTROP et al.: A8.2 'Biochemical analysis of the Workshop antibodies of the activation section', page 473 - page 474 ---	1-12
A	THE EMBO JOURNAL, vol.9, no.4, April 1990, OXFORD, GB pages 1063 - 1068 S. MALLETT ET AL. 'Characterization of the MRC OX40 antigen of activated CD4 positive T lymphocytes - A molecule related to nerve growth factor receptor.' see abstract see figure 2 ---	1-12
P,X	EUROPEAN JOURNAL OF IMMUNOLOGY, vol.24, no.3, March 1994, WEINHEIM, GERMANY pages 677 - 683 U. LATZA ET AL. 'The human OX40 homolog: cDNA structure, expression and chromosomal assignment of the ACT35 antigen.' see abstract see page 677, left column, line 17 - right column, line 7 see page 681, left column, line 7 - right column, line 38 see figure 1 ---	1,2
P,X	THE JOURNAL OF EXPERIMENTAL MEDICINE, vol.180, no.2, 1 August 1994, NEW YORK NY, USA pages 757 - 762 W. GODFREY ET AL. 'Identification of a human OX-40 ligand, a costimulator of CD4+ T cells with homology to tumor necrosis factor.' see the whole document ---	1-12

-/--

# INTERNATIONAL SEARCH REPORT

Intern:    u Application No  
PCT/GB 94/02415

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>JOURNAL OF CELLULAR BIOCHEMISTRY, SUPPLEMENT, vol.0, no.18D, 1994, NEW YORK NY, USA page 355 W. GODFREY ET AL. 'Stan-40, a new member of the FAS-TNFr superfamily expressed selectively on activated, human CD4+ T cells.' see abstract V370 -----</p>	1-12

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB94/02415

**Box I** Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claim 8 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II** Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

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